



REQUEST FOR QUALIFICATIONS

Consultant Contract

Project Title: Carkeek Park Railroad Overpass Pedestrian Bridge Replacement

Procurement Schedule

Table 1: Procurement Schedule

Schedule of Events	Date/Time
Solicitation Release	October 12
Optional Virtual Pre-Submittal Conferences Email Procurement Contact for access	October 25 10:00AM (PST)
Deadline for Questions	October 28
Response Deadline	November 2
Interviews	November 16
Announcement of Successful Proposer(s)	November 18
Anticipated Negotiation Schedule	November-December
Contract Execution	January

*The City reserves the right to modify this.
Changes will be posted on the City website or as otherwise stated.*

Mayor's Executive Order 2021-08 Vaccination Requirements for City Contractors

The Consultant, by submitting its Proposal, agrees that it will comply with Mayor's Executive Order 2021-08, regarding COVID-19 Vaccination Requirements, and that it will require its workers, service providers, subcontractors, suppliers, and their workers to comply as well. Furthermore, the Consultant shall submit the City provided Vaccine Attestation form (available at www.seattle.gov/contractorvax) no later than 5 days prior to the start of the Work. During the performance of the Work, Consultant shall provide an updated Vaccine Attestation form upon the City's request.

The Executive Order and Vaccine Attestation Form are incorporated herein and are available at: www.seattle.gov/contractorvax.

All costs related to the Mayor's Executive Order shall be considered included with or incidental to other Bid/Cost items.

Procurement Contact Information

Procurement Contact: Colin Campbell, Planner, colin.campbell@seattle.gov, 206.790.6642

Submit completed proposal by email to Procurement Contact by submittal deadline listed on Page 1. Submittal file size limit 20mb.

Unless authorized by the Procurement Contact, no other City official or employee may speak for the City regarding this solicitation until award is complete. Any Proposer contacting other City officials or employees does so at Proposer's own risk. The City is not bound by such information.

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1. Purpose and Background.

Seattle Parks and Recreation (SPR) is seeking the services of a creative multi-disciplinary team to complete design and construction administration for the replacement of the existing railroad crossing pedestrian bridge at Carkeek Park. The Carkeek Park railroad overpass pedestrian bridge provides access to the public beach on the west side of the railroad right-of-way at the western end of the park. The bridge, constructed in 1955 within an existing easement was determined to be nearing the end of its useable life by an Engineering Study completed in May 2021. The study includes feasibility analysis for different bridge typologies leading to a recommended conceptual design style for the replacement. This project will also require the negotiation of a new easement agreement with Burlington Northern Santa Fe Railway (BNSF), the owner of the right-of-way (ROW) that the easement provides access through.

In order to best prepare relevant proposals please review the copy of the 2021 feasibility study that is available on the official *City of Seattle Carkeek Park* webpage. The study is available under the *Current Projects* section at: <https://www.seattle.gov/parks/find/parks/carkeek-park>

The current estimated total budget is \$4,841,000 with \$2,214,000 appropriated to date from Real Estate Excise Taxes.

Department: Seattle Parks and Recreation
Contact: Colin Campbell, 206-790-6642

The City of Seattle is an Equal Opportunity Employer and selection of the Consultant is subject to applicable laws and ordinances regarding equal opportunity employment.

2. Performance Schedule.

The contract period is estimated to be from **November 2022** through **December 2025**. The Scope of Work described in *Section 5: Scope of Work* will be completed in phases. The time for completion for each phase will be negotiated after contract award based on a negotiated scope of work. There may be prolonged scheduling and unforeseen permitting issues that will need to be resolved. The consultant must understand and have the expertise to properly plan for delays and accelerations resulting from project review, public input, and/or working with BNSF Railway.

3. Solicitation Objectives.

The City expects to hire a team through this consultant team solicitation which will be able to deliver a successful project. The successful consultant team must be able to:

Retain a competent and creative team to design an iconic, cost effective, and maintainable structure to replace the existing Carkeek Park railroad overpass Pedestrian Bridge. The design of the new structure would embrace the existing environment and location in this beloved regional waterfront park. The desired end product is a bridge and accessible approach that is responsive to the character of the park in a thoughtful, creative, and artistic execution of engineering.

The City will use a process for selecting the Consultant Team that includes a review of qualifications, interview, and reference checks. The City will first evaluate all firms submitting their

qualifications. Qualifications will be scored based on evaluation criteria described in *Section 9: Selection Process*. This evaluation will identify a short list of the top-ranked teams which will be interviewed. The interview will provide an opportunity for consultant teams to clarify and/or expand on their team's qualifications, relevant experience and availability of key personnel, and address specific questions that may be asked as part of the interview process. As part of this RFQ, we are requesting that the Consultant does not provide a design solution or propose a design solution; the intent of this request is to streamline the selection process and minimize submittal costs. However, the Consultant should be cognizant of the project objectives, constraints, and challenges and how it may mold the project team and the project teams' approach to the project.

The City will conduct an interview of the shortlisted teams after review of qualifications. The winning firm will be notified verbally and in writing.

4. Minimum Qualifications.

Minimum qualifications are required for a consultant to be eligible to submit a proposal response. RFQ submittal responses must show compliance with these minimum qualifications. Proposals that are not responsive to these qualifications shall be rejected by the City without further consideration. These minimum qualifications are the ability to demonstrate a successful track record of

- Working on complex and collaborative design projects with significant public involvement.
- Experience in bridge analysis and design in accordance with Class A Railroad design standards in the last five (5) years.
- Experience in design and construction activities in a Shoreline Environment, and all the Federal, state and local permits associated with that work, in the last five (5) years.
- Experience in working with a public agency of similar size to the City of Seattle within the last five (5) years, with services similar to those requested by the City for this contract.
- Experienced Architect, Engineer, and/or Landscape Architect of Record that holds a current State of Washington license in good standing.
- Designer(s) of record that are able to demonstrate understanding of bridge architecture via past projects.

A prime consultant with a minimum of five (5) years continuous experience during which time the requested services have been the primary business service.

5. Scope of Work.

The core functions of the Consultant as part of this contract are to provide overall project management of the Consultant-led project team, produce design and construction documents, participate in SPR's, BNSF's, and the City of Seattle Design Commission's design review processes, and perform public outreach with SPR specific for this project. A Feasibility Study prepared by RHC Engineering with LMN Architects and Shannon & Wilson Geotech in 2021 made recommendations for this project. The study includes feasibility analysis for different bridge typologies leading to a recommended conceptual design style for the replacement. The work for this proposal will build from the findings of that study, including the recommendations made for the project approach. A copy of the study is available on the official *City of*

Seattle Carkeek Park webpage under the *Current Projects* section at:
<https://www.seattle.gov/parks/find/parks/carkeek-park>

The scope of work presented below provides a minimum level of detail. The selected Consultant will coordinate throughout the contract term with the BNSF Coordination consultant, secured under separate RFQ. The successful awardee of this RFQ process will be required to provide a detailed scope of work during contract negotiations that identifies all the tasks necessary to provide an end product that is permittable, meets all BNSF standards and requirements, and is buildable within projected work windows acceptable to BNSF. The scope shall incorporate addressing construction related issues including, but not limited to, mobilization, site access, work on a steep slope, and work within the marine shoreline with clear limits on impacts to surrounding Parks assets.

The selected Consultant Team will critically evaluate the preliminary scope and propose modifications in partnership with the City. The Consultant Team will, under one contract or subcontracts, provide the negotiated services for the project. The Consultant will be responsible for identifying any cost saving measures and recommending design revisions to the conceptual site plan that would result in a better outcome for the stakeholders and City, while still achieving the goals and objectives of the project and meeting all BNSF requirements.

One of the critical components of this project will be public outreach. There is a diverse set of stakeholders that surround and use the park. The successful Consultant Team will be expected to help SPR engage with regional park users, residents that live around the park, community and environmental organizations based in and near the park, permitting entities, and other stakeholder groups. The outreach effort will include traditional public meeting(s) as well as presentations to the Seattle Design Commission at regular intervals for review.

The Consultant Team will be expected to closely coordinate with SPR to advance the design and construction of the new pedestrian structure and with BNSF for the final design of the pedestrian structure to complement the overall experience at Carkeek Park.

SPR has identified the following core functions in this scope of work:

- Architecture
- Landscape Architecture

SPR has identified the following related disciplines in this scope of work:

- Structural Engineering
- Geotechnical

A core function is any element of a particular scope of work which SPR is certain will be performed and completed through all phases of this Contract. Consultants shall focus on the core functions when developing meaningful and realistic integration of WMBE roles in proposed Inclusion Plans.

A. Phase I Scope of Work – Conceptual Design

1. Conceptual Design – Conceptual design was completed as a part of the 2021 feasibility study. The work for this contract will review, vet, and incorporate that analysis to develop construction cost estimates and prepare the project to begin the environmental and BNSF review and permitting processes. The conceptual design phase will bring the design of all the elements through approximately 10 percent design. The following specific tasks will need to be addressed as part of the conceptual design phase:

- a. Architectural and Aesthetics – Analyze the range of potential users and craft a style that compliments the experience at Carkeek Park; based upon that analysis, develop a structure that supports these functions, is iconic, and celebrates the diverse history of Carkeek Park.
- b. Utility Location Plan – Develop conceptual layout of utilities affected by the reconstruction of the pedestrian overpass. Survey and base mapping of the project area will be provided by SPR.
- c. Structural Analysis and Design
 - Develop the structural components to validate the feasibility of the architectural vision.
 - Assess structural modifications as required to adjacent property owners affected by the bridge demolition/reconstruction
- d. Cost Estimates – Develop construction cost estimates for the project elements using a risk-based cost estimating methodology.
- e. Technical Reports – Prepare technical reports and drawings (including, but not limited to, the basemap, geotechnical report, soils report, utility report, drainage report, etc.)
- f. Preliminary Construction Phasing Plan – Develop a preliminary construction phasing strategy that addresses maintenance of construction access, public access, and coordination of expected impacts to the park.
- g. Review and Permitting Strategy – Develop a strategy for environmental permitting and review for the project while coordinating with requirements for BNSF review and permitting. SPR will secure a separate consultant to identify needed deliverables for BSNF process.
- h. Public Engagement – Prepare materials and facilitate planned public meeting to discuss the concept bridge design and expected park impacts as presented at SPR ProView/Seattle Design Commission.

B. Phase II Scope of Work – Preliminary Engineering/Design Development and Final Design/Construction Documents

The Consultant Team will be responsible for all aspects of design for the Carkeek Park Railroad Overpass

Pedestrian Bridge Replacement through final design and construction documents. Collaboration with the BNSF Coordinating consultant will be necessary to identify required elements and timing to meet BNSF Construction review timelines. Specific deliverables will include, but are not limited to the following:

1. 30% Construction Documents
 - Complete preliminary design for the preferred alternative including and not limited the following: parking and accessible pedestrian routes, drainage, utility relocations, bridge design, foundation design, and required ROW modifications.

- Provide ROW activity support.
 - Refine detailed cost estimates.
 - Refine construction phasing and maintenance of traffic plans.
 - Update technical reports and drawings (including, but not limited to, the basemap, geotechnical report, soils report, utility report, drainage report, traffic analysis, etc.)
 - Prepare and present materials at the following meetings: SPR Proview, Seattle Design Commission, and Public Outreach Meeting(s).
2. Environmental Review and Permitting
- Assist in the preparation of documentation necessary for NEPA/SEPA compliance for the Project, including any necessary discipline reports.
 - Assist in the preparation of documentation necessary for ESA compliance.
 - Perform environmental site assessments.
 - Assist in identification of required permits and provide support to City-prepared permit applications.
 - Lead coordination with BNSF from permitting, design approval, and construction coordination.
3. 60% Construction Documents
- Complete design drawings.
 - Provide specifications (Draft Division 1 and 2-48 as needed).
 - Provide current Engineer's Estimate.
 - Respond to comments from previous step of design review.
 - Design calculations for structural, mechanical, electrical, etc. and/or geotechnical report (if new or revised since previous submittals).
 - Project Manual and Design Checklist.
 - Prepare and present materials at the following meetings: SPR Proview Tech, Seattle Design Commission, and Public Meeting(s).
4. 90% Construction Documents
- Updated drawings and Specifications (Refined Division 1 and 2-48 as needed).
 - Current Engineer's Estimate.
 - Respond to comments sheet from previous review cycle.
 - Design calculations for structural, mechanical, electrical, etc. and/or geotechnical report (if new or revised since previous submittals).
 - Prepare and present materials at the following meetings: SPR Proview Tech and Seattle Design Commission.
5. 100% Construction Documents
- Updated drawings and Specifications (Refined Division 1 and 2-48 as needed) to be used as the basis for construction and bid solicitation.
 - Current Engineer's Estimate.
 - Respond to comments sheet from previous review cycle.
 - Design calculations for structural, mechanical, electrical, etc. and/or geotechnical report (if new or revised since previous submittals).
 - Substantiate project schedule and contract days.

C. Phase III Scope of Work - Construction Management

This Phase III Scope of Work may also, at the City's discretion, include one or both optional components:

1. Engineering and Design Support During Construction

Provide design and review services during construction for the development of new or updated construction documents due to contractor proposed changes in design or changed field conditions.

2. Construction Management

- Provide on-site construction management to observe and report on the quality and progress of executed work.
- Provide construction inspection services.

6. Contract Modifications. 3

The City consultant contract is attached (see Section 10.8).

Consultants submit proposals understanding all contract terms and conditions are mandatory. Response submittal is agreement to the contract without exception. The City reserves the right to negotiate changes to submitted proposals and to change the City's otherwise mandatory contract form during negotiations. If the Consultant is awarded a contract and refuses to sign the attached contract form, the City may reject the Consultant from this and future solicitations for the same work. Under no circumstances shall Consultant submit its own boilerplate of terms and conditions.

7. Procedures and Requirements.

This section details City instructions and requirements for your submittal. The City reserves the right in its sole discretion to reject any Consultant response that fails to comply with the instructions.

7.1 Registration into the Online Business Directory

If you have not previously done so, register at: <http://www.seattle.gov/obd> The City expects all firms to register. Women- and minority- owned firms are asked to self-identify (see *Section 7.26*). For assistance, email FAS_PC@seattle.gov.

7.2 Pre-Submittal Conference

The City offers an optional pre-submittal conference at the time, date, and location on Page 1. Proposers are highly encouraged but not required to attend to be eligible to propose. The meeting answers questions about the solicitation and clarifies issues. This also allows Proposers to raise concerns. Failure to raise concerns over any issues at this opportunity will be a consideration in any protest filed regarding such items known as of this pre-proposal conference.

7.3 Questions.

Proposers may email questions to the Procurement Contact until the deadline stated on Page 1. Failure to request clarification of any inadequacy, omission, or conflict will not relieve the Consultant of

responsibilities under any subsequent contract. It is the responsibility of the interested consultant to assure they receive responses to questions if any are issued.

7.4 Changes to the RFP/RFQ.

The City may make changes to this RFP/RFQ if, in the sole judgment of the City, the change will not compromise the City's objectives in this solicitation. Any change to this RFP/RFQ will be made by formal written addendum issued by the City and shall become part of this RFP/RFQ.

7.5 Receiving Addenda and/or Question and Answers.

It is the obligation and responsibility of the Consultant to learn of addenda, responses, or notices issued by the City. Some third-party services independently post City of Seattle solicitations on their websites. The City does not guarantee that such services have accurately provided all the information published by the City.

All submittals sent to the City may be considered compliant with or without specific confirmation from the Consultant that any and all addenda was received and incorporated into your response. However, the Procurement Contact reserves the right to reject any submittal that does not fully incorporate addenda that is critical to the project.

7.6 Proposal Submittal.

- a. Proposals must be received by the City no later than the date and time on Page 1 except as revised by addenda.
- b. All pages are to be numbered sequentially, and closely follow the requested formats.
- c. The City has page limits specified in *Section 8: Response Materials and Submittal*. Any pages that exceed the page limit will be excised from the document for purposes of evaluation.
- d. The Proposer has full responsibility to ensure the response arrives at the City within the deadline. A response delivered after the deadline may be rejected unless waived as immaterial by the City given specific fact-based circumstances.

7.7 Electronic Submittal.

The City allows and will accept an electronic submittal in lieu of an official paper submittal.

- a. The electronic submittal is e-mailed to the Procurement Contact (see Page 2), by the submittal deadline (Procurement Schedule, Table 1, Page 1 or as otherwise amended).
- b. Title the e-mail so it will not be lost in an e-mail stream.
- c. Any risks associated with an electronic submittal are borne by the Proposer.
- d. The City's e-mail system will typically allow documents up to 20 Megabytes.

7.8 Proposer Responsibility to Provide Full Response.

It is the Proposer's responsibility to respond in a manner that does not require interpretation or clarification by the City. The Proposer is to provide all requested materials, forms and information. The Proposer is to ensure the materials submitted properly and accurately reflect the Proposer's offering. During scoring and

evaluation (prior to interviews, if any), the City will rely upon the submitted materials and shall not accept materials from the Proposer after the RFP/RFQ deadline; this does not limit the City's right to consider additional information (such as references that are not provided by the Proposer but are known to the City, or past City experience with the consultant), or to seek clarifications as needed.

7.10 Prohibited Contacts.

Proposers shall not interfere in any way to discourage other potential and/or prospective proposers from proposing or considering a proposal process. Prohibited contacts includes but is not limited to any contact, whether direct or indirect (i.e., in writing, by phone, email or other, and by the Proposer or another person acting on behalf of the Proposer) to a likely firm or individual that may discourage or limit competition. If such activity is evidenced to the satisfaction and in sole discretion of the City department, the Proposer that initiates such contacts may be rejected from the process.

7.11 License and Business Tax Requirements.

The Consultant must meet all applicable licensing requirements immediately after contract award or the City may reject the Consultant. Companies must license, report and pay revenue taxes for the Washington State business License (UBI#) and Seattle Business License, if required by law. Carefully consider those costs before submitting an offer, as the City will not separately pay or reimburse such costs.

Seattle Business Licensing and associated taxes.

- a. If you have a "physical nexus" in the city, you must obtain a Seattle Business license and pay all taxes due before the Contract can be signed.
- b. A "physical nexus" means you have physical presence, such as: a building/facility/employee(s) in Seattle, you make sales trips into Seattle, your own company drives into Seattle for product deliveries, and/or you conduct service work in Seattle (repair, installation, service, maintenance work, on-site consulting, etc.).
- c. We provide a Consultant Questionnaire Form in our submittal package items later in this RFP/RFQ, and it will ask you to specify if you have "physical nexus".
- d. All costs for any licenses, permits and Seattle Business License taxes owed shall be borne by the Consultant and not charged separately to the City.
- e. The apparent successful Consultant(s) must immediately obtain the license and ensure all City taxes are current, unless exempted by City Code due to reasons such as no physical nexus. Failure to do so will cause rejection of the submittal.
- f. The City of Seattle Application for a Business License and additional licensing information can be found this page here: <http://www.seattle.gov/licenses/get-a-business-license>
- g. You can find Business License Application help here: <http://www.seattle.gov/licenses/get-a-business-license/license-application-help>
- h. Self-Filing You can pay your license and taxes on-line using a credit card www.seattle.gov/self/
- i. For Questions and Assistance, call the Revenue and Consumer Protection (RCP) office which issues business licenses and enforces licensing requirements. The general e-mail is rca@seattle.gov. The main phone is 206-684-8484.
- j. The licensing website is <http://www.seattle.gov/licenses>
- k. If a business has extraordinary balances due on their account that would cause undue hardship to the business, the business can contact the License and Tax Administration office at tax@seattle.gov to request additional assistance.
- l. Those holding a City of Seattle Business license may be required to report and pay revenue taxes to the City. Such costs should be carefully considered by the Consultant prior to submitting your offer. When allowed by City ordinance, the City will have the right to retain amounts due at the conclusion of a contract by withholding from final invoice payments.

7.12 State Business Licensing.

Before the contract is signed, you must have a State of Washington business license (a “Unified Business Identifier” known as a UBI#). If the State of Washington has exempted your business from State licensing (some foreign companies are exempt and sometimes, the State waives licensing because the company has no physical presence in the State), then submit proof of that exemption to the City. All costs for any licenses, permits and associated tax payments due to the State because of licensing shall be borne by the Consultant and not charged separately to the City. Instructions and applications are at <http://bls.dor.wa.gov/file.aspx> and the State of Washington Department of Revenue is available at 1-800-647-7706.

7.13 Federal Excise Tax.

The City is exempt from Federal Excise Tax.

7.14 No Guaranteed Utilization.

The City does not guarantee utilization of any contract(s) awarded through this RFP/RFQ process. The solicitation may provide estimates of utilization; such information is for Consultant convenience and not a usage guarantee. The City reserves the right to issue multiple or partial awards, and/or to order work based on City needs. The City may turn to other appropriate contract sources or supplemental contracts to obtain these same or similar services. The City may re-solicit for new additions to the Consultant pool. Use of such supplemental contracts does not limit the right of the City to terminate existing contracts for convenience or cause.

7.15 Expansion Clause.

The contract limits expansion of scope and new work not expressly provided for within the RFP/RFQ.

Expansion for New Work (work not specified within the original Scope of Work Section of this Agreement, and/or not specified in the original RFP as intended work for the Agreement) must comply with the following:

- (a) New Work is not reasonable to solicit separately; (b) is for reasonable purpose; (c) was not reasonably known by the City or Consultant at time of solicitation or was mentioned as a possibility in the solicitation (i.e. future phases of work, or a change in law); (d) is not significant enough to be regarded as an independent body of work; (e) would not attract a different field of competition; and (f) does not change the identity or purpose of the Agreement.

The City may make exceptions for immaterial changes, emergency or sole source conditions, or other situations required in City opinion. Certain changes are not subject to these limitations, such as additional phases of Work anticipated during solicitation, time extensions, and Work Orders issued on an On-Call contract. Expansion must be mutually agreed and issued by the City through written Addenda. New Work performed before an authorizing Amendment may not be eligible for payment.

The City reserves the right to independently solicit and award any New Work to another firm when deemed appropriate or required by City policy.

7.16 Effective Dates of Offer.

Solicitation responses are valid until the City completes award. Should any Proposer object to this condition, the Proposer must object prior to the Q&A deadline on Page 1.

7.17 Cost of Preparing Proposals.

The City is not liable for costs incurred by the Proposer to prepare, submit and present proposals, interviews and/or demonstrations.

7.18 Readability.

The City's ability to evaluate proposals is influenced by the organization, detail, comprehensive material and readable format of the response.

7.19 Changes or Corrections to Proposal Submittal.

Prior to the submittal due date, a Consultant may change its proposal, if initialed and dated by the Consultant. No changes are allowed after the closing date and time.

7.20 Errors in Proposals.

Proposers are responsible for errors and omissions in their proposals. No error or omission shall diminish the Proposer's obligations to the City.

7.21 Withdrawal of Proposal.

A submittal may be withdrawn by written request of the submitter.

7.22 Rejection of Proposals.

The City may reject any or all proposals with no penalty. The City may waive immaterial defects and minor irregularities in any submitted proposal.

7.23 Incorporation of RFP/RFQ and Proposal in Contract.

This RFP/RFQ and Proposer's response, including promises, warranties, commitments, and representations made in the successful proposal once accepted by the City, are binding and incorporated by reference in the City's contract with the Proposer.

7.24 Independent Contractor.

The Consultant works as an independent contractor. The City will provide appropriate contract management, but that does not constitute a supervisory relationship to the Consultant. Consultant workers are prohibited from supervising City employees or from direct supervision by a City employee. Prohibited supervision tasks include conducting a City of Seattle Employee Performance Evaluation, preparing and/or approving a City of Seattle timesheet, administering employee discipline, and similar supervisory actions.

Contract workers shall not be given City office space unless expressly provided for below, and in no case shall such space be provided for over 36 months without specific authorization from the City.

The City will not provide space in City offices for performance of this work. Consultants will perform most work from their own office space or the field.

7.25 Equal Benefits.

Seattle Municipal Code Chapter 20.45 (SMC 20.45) requires consideration of whether Proposers provide health and benefits that are the same or equivalent to the domestic partners of employees as to spouses of employees, and of their dependents and family members. The Consultant Questionnaire requested in the Submittal instructions includes materials to designate your equal benefits status.

7.26 Women and Minority Subcontracting.

The Mayor's Executive Order and City ordinance require the maximum practicable opportunity for successful participation of minority and women-owned subcontracts. All proposers must agree to SMC Chapter 20.42, and seek meaningful subconsultant opportunities with WMBE firms. The City requires a plan for including minority- and women-owned firms, which becomes a material part of the contract. The Plan must be responsive in the opinion of the City, which means a meaningful and successful search and commitments to include WMBE firms for subcontracting work. The City reserves the right to improve the Plan with the winning Consultant before contract execution. Consultants should use selection methods and strategies sufficiently effective for successful WMBE participation. At City request, Consultants must furnish evidence such as copies of agreements with WMBE subconsultants either before contract execution or during contract performance. The winning Consultant must request written approval for changes to the Inclusion Plan once it is agreed upon. This includes changes to goals, subconsultant awards and efforts.

WMBE firms need not be state certified to meet the City's WMBE definition. The City defines WMBE firms as at least 51% (percent) owned by women and/or minority. To be recognized as a WMBE, register on the City's [Online Business Directory](#). Federally funded transportation projects require a Disadvantaged Business Enterprises (DBE) program; for that program, firms must be certified by the [Washington State Office of Minority and Women Business Enterprises \(OMWBE\)](#).

7.27 Insurance Requirements.

Any special insurance requirements are provided as an Attachment. If attached, provide proof of insurance and additional insured endorsement policy language to the City before Contract execution. The apparent successful Proposer must promptly provide proof of insurance to the City upon receipt of the notice of intent to award.

Consultants are encouraged to immediately contact their Broker to begin preparation of the required insurance documents, if the Consultant is selected as a finalist. Proposers may elect to provide the requested insurance documents within their Proposal.

7.28 Proprietary Materials.

The State of Washington's Public Records Act (Release/Disclosure of Public Records): Under Washington State Law (reference RCW Chapter 42.56, the Public Records Act) all materials received or created by the City of Seattle are considered public records. These records include but are not limited to bid or proposal submittals, agreement documents, contract work product, or other bid material.

The State of Washington's Public Records Act requires that public records must be promptly disclosed by the City upon request unless that RCW or another Washington State statute specifically exempts records from

disclosure. Exemptions are narrow and explicit and are listed in Washington State Law (Reference RCW 42.56 and RCW 19.108).

Bidders/proposers must be familiar with the Washington State Public Records Act and the limits of record disclosure exemptions. For more information, visit the Washington State Legislature's website at <http://app.leg.wa.gov/rcw/default.aspx?cite=42.56>.

If you have any questions about disclosure of the records you submit with your bid, contact the Procurement Contact named in this document.

Marking Your Records Exempt from Disclosure (Protected, Confidential, or Proprietary)

As mentioned above, all City of Seattle offices ("the City") are required to promptly make public records available upon request. However, under Washington State Law some records or portions of records are considered legally exempt from disclosure and can be withheld. A list and description of records identified as exempt by the Public Records Act can be found in RCW 42.56 and RCW 19.108.

If you believe any of the records you are submitting to the City as part of your bid/proposal or contract work products, are exempt from disclosure you can request that they not be released before you receive notification. To do so you must complete the City Non-Disclosure Request Form ("the Form") provided by the City (see page 4 on the Consultant Questionnaire) and very clearly and specifically identify each record and the exemption(s) that may apply. (If you are awarded a City contract, the same exemption designation will carry forward to the contract records.)

The City will not withhold materials from disclosure simply because you mark them with a document header or footer, page stamp, or a generic statement that a document is non-disclosable, exempt, confidential, proprietary, or protected. Do not identify an entire page as exempt unless each sentence is within the exemption scope; instead, identify paragraphs or sentences that meet the specific exemption criteria you cite on the Form. Only the specific records or portions of records properly listed on the Form will be protected and withheld for notice. All other records will be considered fully disclosable upon request.

If the City receives a public disclosure request for any records you have properly and specifically listed on the Form, the City will notify you in writing of the request and will postpone disclosure. While it is not a legal obligation, the City, as a courtesy, will allow you up to ten business days to file a court injunction to prevent the City from releasing the records (reference RCW 42.56.540). If you fail to obtain a Court order within the ten days, the City may release the documents.

The City will not assert an exemption from disclosure on your behalf. If you believe a record(s) is exempt from disclosure you are obligated to clearly identify it as such on the Form and submit it with your solicitation. Should a public record request be submitted to Purchasing for that record(s), you can then seek an injunction under RCW 42.56 to prevent release. By submitting a bid document, the bidder acknowledges this obligation; the proposer also acknowledges that the City will have no obligation or liability to the proposer if the records are disclosed.

Requesting Disclosure of Public Records

The City asks bidders and their companies to refrain from requesting public disclosure of bids until an intention to award is announced. This measure is intended to protect the integrity of the solicitation process particularly during the evaluation and selection process or in the event of a cancellation or re-solicitation. With this preference stated, the City will continue to be responsive to all requests for disclosure of public records as required by State Law. If you do wish to make a request for records, visit <https://www.seattle.gov/public-records/public-records-request-center>.

7.29 Ethics Code.

Familiarize yourself with the City Ethics code: http://www.seattle.gov/ethics/etpub/et_home.htm. For an in-depth explanation of the City's Ethics Code for Contractors, Vendors, Customers and Clients, visit: <http://www.seattle.gov/ethics/etpub/faqcontractorexplan.htm>. Any questions should be addressed to Seattle Ethics and Elections Commission at 206-684-8500.

No Gifts and Gratuities.

Consultants shall not directly or indirectly offer anything (such as retainers, loans, entertainment, favors, gifts, tickets, trips, bonuses, donations, special discounts, work, or meals) to any City employee, volunteer or official, if it is intended or may appear to a reasonable person to be intended to obtain or give special consideration to the Consultant. An example of this is giving sporting event tickets to a City employee who is also on the evaluation team of a solicitation to which you submitted or intend to submit. The definition of what a "benefit" would be is broad and could include not only awarding a contract but also the administration of the contract or evaluating contract performance. The rule works both ways, as it also prohibits City employees from soliciting items from Consultants.

Involvement of Current and Former City Employees.

The Consultant Questionnaire within your submittal documents prompts you to disclose any current or former City employees, official or volunteer that is working or assisting on solicitation of City business or on completion of an awarded contract. Update that information during the contract.

Contract Workers with over 1,000 Hours.

The Ethics Code applies to Consultant workers that perform over 1,000 cumulative hours on any City contract during any 12-month period. Any such employee must abide by the City Ethics Code. The Consultant is to be aware and familiar with the Ethics Code accordingly.

No Conflict of Interest.

Consultant (including officer, director, trustee, partner or employee) must not have a business interest or a close family or domestic relationship with any City official, officer or employee who was, is, or will be involved in selection, negotiation, drafting, signing, administration or evaluating Consultant performance. The City shall make sole determination as to compliance.

Campaign Contributions (Initiative Measure No. 122)

Elected officials and candidates are prohibited from accepting or soliciting campaign contributions from anyone having at least \$250,000 in contracts with the City in the last two years or who has paid at least \$5,000 in the last 12 months to lobby the City. See Initiative 122, or call the Ethics Director with questions.

7.30 Background Checks and Immigrant Status.

Background checks will not be required for workers that will be performing the work under this contract. The City has strict policies regarding the use of Background checks, criminal checks, immigrant status, and/or religious affiliation for contract workers. The policies are incorporated into the contract and available for viewing on-line at <http://www.seattle.gov/purchasing-and-contracting/social-equity/background-checks>.

7.31 Notification Requirements for Federal Immigration Enforcement Activities.

Prior to responding to any requests from an employee or agent of any federal immigration agency including the Immigration and Customs Enforcement (ICE), the U.S. Department of Homeland Security (DHS), Homeland Security Investigations (HSI), Enforcement Removal Operations (ERO), Customs and Border Protection (CBP), and U.S. Citizenship and Immigration Services (USCIS) regarding your City contract, Consultants shall notify the Project Manager immediately.

Such requests include, but are not limited to:

- a. requests for access to non-public areas in City buildings and venues (i.e., areas not open to the public such as staff work areas that require card key access and other areas designated as “private” or “employee only”); or
- b. requests for data or information (written or oral) about workers engaged in the work of this contract or City employees.

No access or information shall be provided without prior review and consent of the City. The Consultant shall request the ICE authority to wait until the Project Manager is able to verify the credentials and authority of the ICE agent and will direct the Consultant on how to proceed.

8. Response Materials and Submittal.

Prepare your response as follows. Use the following format and provide all attachments. Failure to provide all information below on proper forms and in the order requested, may cause the City to reject your response.

1. Mandatory - Consultant Questionnaire:

Submit the following in your response, even if you sent one to the City for previous solicitations.

<http://www.seattle.gov/Documents/Departments/FAS/PurchasingAndContracting/Consulting/fas-cpcs-consultant-questionnaire.docx>

2. Letter of interest (optional).

3. Proof of Legal Business Name (if applicable):

Provide a certificate or documentation from the Secretary of State in which you incorporated that shows your company legal name. Many companies use a “Doing Business As” name or nickname in daily business; the City requires the legal name for your company. When preparing all forms below, use the proper company legal name. Your company’s legal name can be verified through the State Corporation Commission in the state in which you were established, which is often located within the Secretary of State’s Office for each state. For the State of Washington, see <http://www.secstate.wa.gov/corps/>

4. Mandatory – Minimum Qualifications:

Provide a single page that lists each Minimum Qualification, and exactly how you achieve each minimum qualification. Remember that the determination you have achieved all the minimum qualifications is made from this page. The evaluation committee is not obligated to check references or search other materials to make this decision.

5. Mandatory – Consultant Inclusion Plan:

You must submit the following in your response.

Click on the following link to open the Consultant Inclusion Plan:

<http://www.seattle.gov/Documents/Departments/FAS/PurchasingAndContracting/WMBE/fas-cpcs-consultant-inclusion-plan.docx>

6. Mandatory - Proposal Response:

Maximum of 10 pages front & back (20). This should include detail of the consultant's relevant experience, proposed project approach, and references. This document details the submittal requirements for your proposal response. This page limit includes all mandatory submittal items listed below.

Submittal Checklist.

Your response should be packaged with each of the following. This list assists with quality control before submittal of your final package. Addenda may change this list; check any final instructions:

1. Mandatory – Consultant Questionnaire.
2. Proof of Legal Business Name (if applicable)
3. Mandatory – Minimum Qualifications Sheet
4. Mandatory – Consultant Inclusion Plan
5. Mandatory – Proposal Response (see Proposal Response Section, above).
6. Optional – Letter of Interest. Consultant may include a Letter of Interest no longer than a single 8.5” x 11” page. However, since this is optional, the City does not guarantee it will be read and it will not be counted in the page limits, evaluation or scoring.

9. Selection Process.

9.1 Initial Screening

The City will review responses for responsiveness and responsibility. Those found responsive and responsible based on an initial review shall proceed to Step 2. Equal Benefits, Minimum Qualifications, an Inclusion Plan, satisfactory financial responsibility and other elements are screened in this Step. A significant failure to perform on past City projects may also be considered in determining the responsibility of a firm.

9.2 Proposal Evaluation

The City will evaluate proposals using the criteria below. Responses will be evaluated, scored and ranked.

Evaluation Criteria:

RFQ Submittal Scoring:

Project Understanding & Design Approach	50
Proposed Team Qualifications	20
Project Experience of Prime	10
Inclusion Plan	10
References	10

Interview Scoring for Top Three Selected Firms based on RFQ Submittal

Interviews	25
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Selected Consultant team will be chosen based on the total sum of the RFQ submittal score and the Interview score.

9.3 Interviews.

The City may interview top ranked firms from the proposal evaluation. If interviews are conducted, rankings of firms shall be determined by the City, using the combined results of interviews and proposal submittals. Consultants invited to interview are to bring the assigned key person(s) named by the Consultant in the Proposal and may bring other key personnel named in the Proposal. The Consultant shall not bring individuals who do not work for the Consultant or are not on the project team without advance authorization by the Procurement Contact. If interviews are conducted, they will be worth 25 additional points.

9.4 References.

The City may contact one or more references. The City may use references named or not named by the Proposer. The City may also consider the results of performance evaluations issued by the City on past projects.

9.5 Selection.

The City shall select the highest ranked Proposer(s) for award, including written proposal and the interview (if applicable). The City reserves the right to make a final selection based on the combined results and/or the overall consensus of the Consultant Evaluation Committee.

9.6 Contract Negotiations.

The highest ranked Proposer will be asked to bring forward a fee schedule and pricing proposal for negotiation and discussion with the City. The City may negotiate any aspect of the proposal or the solicitation. The City cannot modify contract provisions mandated by Federal, State or City law: Equal Benefits, Audit (Review of Vendor records), WMBE and EEO, Confidentiality, Debarment, or mutual indemnification.

9.7 Right to Award to next ranked Consultant.

If a contract is executed resulting from this solicitation and is terminated within 90-days, the City may return to the solicitation process to award to the next highest ranked responsive Consultant by mutual agreement with such Consultant. New awards thereafter are also extended this right.

9.8 Repeat of Evaluation.

If no Consultant is selected at the conclusion of all the steps, the City may return to any step in the process to repeat the evaluation with those proposals active at that step. The City shall then sequentially step through all remaining steps as if conducting a new evaluation process. The City reserves the right to terminate the process if no proposals meet its requirements.

10. Award and Contract Execution.

The Procurement Contact will provide timely notice of an intent to award to all Consultants responding to the Solicitation.

10.1 Protests.

Interested parties that wish to protest any aspect of this RFP selection process shall provide written notice to the Procurement Contact. Note the City shall notify Federal Transit Administration if protesting a solicitation for contracts with FTA funds.

10.2 Protests – Purchasing and Contracting.

The City has rules to govern the rights and obligations of interested parties that desire to submit a complaint or protest to this process. See the City website at <https://www.seattle.gov/purchasing-and-contracting/doing-business-with-the-city/solicitation-and-selection-protest-protocols>. Interested parties have the obligation to know of and understand these rules, and to seek clarification from the City. Note there are time limits on protests, and submitters have final responsibility to learn of results in sufficient time for such protests to be filed in a timely manner.

10.3 Limited Debriefs.

The City issues results and award decisions to all bidders. The City provides debriefing on a limited basis for the purpose of allowing bidders to understand how they may improve in future bidding opportunities.

10.4 Instructions to the Apparently Successful Consultant(s).

The Apparently Successful Consultant(s) will receive an Intent to Award Letter from the Procurement Contact after award decisions are made by the City. The Letter will include instructions for final submittals due prior to execution of the contract.

Once the City has finalized and issued the contract for signature, the Consultant must execute the contract and provide all requested documents within ten (10) business days. This includes attaining a Seattle Business License, payment of associated taxes due, and providing proof of insurance. If the Consultant fails to execute the contract with all documents within the ten (10) day time frame, the City may cancel the award and proceed to the next ranked Consultant, or cancel or reissue this solicitation. Cancellation of an award for failure to execute the Contract as attached may disqualify the firm from future solicitations for this same work.

10.5 Checklist of Requirements Prior to Award.

The Consultant(s) should anticipate the letter will require at least the following. Consultants are encouraged to prepare these documents when possible, to eliminate risks of late compliance.

- Seattle Business License is current and all taxes due have been paid.
- State of Washington Business License.
- Evidence of Insurance (if required)
- Special Licenses (if any)
- Vaccine Attestation form (available at www.seattle.gov/contractorvax)

10.6 Taxpayer Identification Number and W-9.

Unless the Consultant has already submitted a Taxpayer Identification Number and Certification Request Form (W-9) to the City, the Consultant must execute and submit this form prior to the contract execution date.

<http://www.irs.gov/pub/irs-pdf/fw9.pdf>

10.7 Insurance Requirements

Proof of insurance is required, link to Insurance Transmittal Form below.

<http://www.seattle.gov/Documents/Departments/FAS/PurchasingAndContracting/Consulting/fas-city-finance-risk-transmittal-consultant-services.docx>

10.8 Standard Consultant Contract Template

Found here:

<http://www.seattle.gov/Documents/Departments/FAS/PurchasingAndContracting/Consulting/fas-PC-consultant-standard-roster-consultant-agreement.docx>