BEFORE THE PUBLIC SAFETY CIVIL SERVICE COMMISSION OF THE CITY OF SEATTLE

IN RE THE APPEALS OF:	
BROWN, Debra (#6214), BARTLEY, Ross) (#5107), MONSON, Clay (#4904),) MORAN, William (#5243), STEVENS,) Sharon (#6059), et al.;)	
STEVENS, Sharon;)	
MONSON, Clay; and)	
SEATTLE POLICE OFFICERS') GUILD, et al.)	MEMORANDUM DECISION
Appellants,	
vs.	
CITY OF SEATTLE, McKinney, Norma Director, City of Seattle Personnel Department, et al.,	
Respondent/Employer.	

PROCEDURAL BACKGROUND

These disputes arise from allegations of cheating during the assessment center, or oral examination portion, of the 2002 Police Sergeant promotional examination within the Seattle Police Department ("Department") and the subsequent decisions of the Personnel Director in light of such allegations.

Four separate appeals were filed against the City of Seattle, the City's Personnel Department and the City's Personnel Director ("Respondents") in September 2002 challenging

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the decisions of the Personnel Director. They are (1) the Appeal of Officers Brown, Bartley, Monson, Moran and Stevens ("Brown Bartley Appeal"); (2) the Appeal of Officer Sharon Stevens ("Stevens Appeal"); (3) the Appeal of Officer Clay Monson ("Monson Appeal"); and (4) the Appeal of the Seattle Police Officers' Guild ("Guild Appeal").

Respondents filed a Motion to Stay and Consolidate and a Motion to Dismiss the appeals.

A hearing on the Brown Bartley Appeal was held on September 30 and October 1, 2002. The

A hearing on the Brown Bartley Appeal was held on September 30 and October 1, 2002. The Commission issued a majority decision on October 9, 2002 ordering the Personnel Director to post the results of a certified listing of eligible candidates. Respondents then filed a Motion for Reconsideration of the Commission's October 9, 2002 decision.

MEMORANDA AND PLEADINGS CONSIDERED

The Commission considered the following pleadings, memoranda, and exhibits attached thereto:

- 1. Appeal filed by Brown, Bartley, Monson, Moran, Stevens, dated 7/19/02 ("Brown Bartley Appeal");
- 14 2. Appeal filed by Stevens dated 9/24/02 ("Stevens Appeal");
 - 3. Letter Appeal filed by the Seattle Police Officers' Guild dated 9/26/02 ("Guild Appeal");
- 15 | 4. Letter Appeal filed by Officer Monson dated 9/25/02 ("Monson Appeal");
 - 5. Respondent City of Seattle's Motion to Dismiss Brown Bartley Appeal, dated 9/24/02;
 - 6. Appellant Seattle Police Officers' Guild's Response to Respondent's Motion to Dismiss, dated 10/24/02;
- 7. Respondent City of Seattle's Reply in Support of Motion to Dismiss, dated 11/21/02;
 - 8. Respondent City of Seattle's Motion to Stay and Consolidate dated 9/26/02;
 - 9. Respondent City of Seattle's Motion for Reconsideration of Commission's Decision on Brown Bartley Appeal, dated 10/21/02;
 - 10. Affidavit of Clay Monson in Rebuttal of Motion for Reconsideration by the City of Seattle, dated 11/25/02;
 - 11. Rebuttal to Motion for Reconsideration filed by Appellant Bartley dated 11/8/02;
 - 12. "Testimony of Detective Ross Bartley #5107 Public Safety Civil Service Commission Hearing, September 30th, 2002," dated 10/24/02;

¹Commissioners Bown and Fossos joined in the majority decision of the Commission. Commissioner Skagen dissented and issued a minority opinion.

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EVIDENCE AND ORAL ARGUMENT CONSIDERED

Hearings before the Commission were held on September 30, October 1 and 25, 2002. Witnesses Personnel Director Norma McKinney and Office of Professional Accountability Director Sam Pailca were sworn in and testified. Appellants James Arata, Ross Bartley, Clay Monson and Sharon Stevens presented argument. Ken Saucier presented argument on behalf of the Guild.

The Commission heard additional oral argument by the parties on October 9, 2002. The Commission deliberated in executive session on November 14 and 21, December 19, 2002, January 8 and 23, 2003.

FINDINGS OF FACT

In the Spring of 2002, the Personnel Director began the process of administering a promotional examination for the position of Sergeant within the Seattle Police Department pursuant to her express duties set forth SMC Chapter 4.04, 4.08 and the PSCSC Rules. The examination took place on March 9, 2002. Allegations of cheating arose shortly after the completion of the assessment center, or oral examination portion, of the 2002 Sergeants' promotional exam. During March and April 2002, the Personnel Director heard rumors that cheating had taken place during the testing, and when she became aware of a possible compromise in the securing of the examination procedures, she referred the incident to the Internal Investigations Section ("IIS") within the Seattle Police Department for investigation. (McKinney Declaration of 9/24/02). IIS began internal disciplinary and criminal investigations into the allegations of cheating. (McKinney Declaration of 9/24/02).

On July 11, 2002, the Personnel Director first decided to postpone publication of the promotional register when she became informed that the investigation would not be completed

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before July 15, 2002, the scheduled date for posting the promotional register. (McKinney Declaration of 9/24/02). On July 11, 2002, she notified the candidates in writing of this decision. (McKinney Declaration of 9/24/02). Later, despite the fact that the investigation was still ongoing and had not yet been completed, the Personnel Director decided to nullify the assessment center portion of the exam and administer a new assessment center, based on her understanding that the oral examination "appeared to be compromised." (McKinney Declaration of 9/24/02). She notified the candidates of this decision in writing on September 19, 2002, giving them approximately 45 days to prepare for a new assessment center, stating that she had received "sufficient information to conclude that Personnel Department's standards of integrity" had not been met. (McKinney Declaration of 9/24/02; Exhibit B to McKinney Declaration of 9/24/02). After an appeal was filed challenging her September 19 decision, the Personnel Director issued a new letter dated October 10, 2002, postponing the retest indefinitely. (McKinney Declaration of 10/15/02). In her letter, the Personnel Director asks the candidates to "[p]lease disregard the correspondence that set out the October 28 – November 2 schedule for retesting." (Exhibit B to McKinney Declaration of 10/15/02).

To date, the internal administrative investigation into the cheating allegations has not yet been completed. (Declaration of Sam Pailca of 1/14/03). In a declaration filed at the request of the Commission, the Director of the Department's Office of Professional Accountability estimated that the investigation should be completed by late February 2003. (Declaration of Sam Pailca of 1/14/03).

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I. <u>Jurisdiction of the Commission</u>

SMC 4.08.070(C) sets forth the powers and duties of the Commission and mandates that the Commission shall... "hear and determine appeals or complaints respecting the administration of this chapter and Section 4.04.040(C) of the Seattle Municipal Code." Moreover, SMC 4.08.020 sets forth the general purpose behind the establishment of the Commission and states that one of these purposes was to establish a Commission to hear appeals of employees regarding promotional testing. All of the appeals consolidated herein are within the jurisdiction of the Commission.²

II. Consolidation of Appeals

All four of the above-referenced appeals are related to the same course of events — the 2002 Sergeant promotional examination, allegations of cheating during the exam, and the decisions made by the Personnel Director as a result of those allegations. The Commission therefore concludes that the Brown Bartley Appeal, the Stevens Appeal, the Monson Appeal and the Guild Appeal should be consolidated.³

III. Mootness

In the Stevens Appeal, Appellant Stevens alleges that the Personnel Director violated the PSCSC Rules by issuing the September 19, 2002 letter decision nullifying the oral assessment and scheduling a new exam. On October 10, 2002, however, the Personnel Director gave the applicants notice that she was postponing indefinitely any retest. (Exhibit B to McKinney Declaration of October 15, 2002) In the letter she asks the applicants to "disregard the

² All Commissioners join in this decision.

³ All Commissioners join in this decision.

McKinney Declaration of October 15, 2002). The Personnel Director argues in her Motion to Dismiss that the October 10 letter constitutes a retraction of the September 19 letter. Appellant Stevens argues that the act of sending the September 19 letter constituted a violation. The Commission concludes that the October 10 letter constitutes a retraction of the Personnel Director's decision to schedule a retest and nullify the oral assessment portion of the exam. As such, Appellant Stevens' appeal is moot. The Commission concludes that this does not constitute an action that is capable of repetition yet evading review. The Stevens Appeal is hereby dismissed.⁴

correspondence that set out the October 28 - November 2 schedule for retesting." (Exhibit B to

The portion of the Guild's Appeal that challenges the decision of the Personnel Director to issue a re-test and her alleged failure to abide by the timeframes set forth in the PSCSC Rules is likewise moot.⁵

IV. Authority of the Commission to investigate

In the Guild Appeal, Appellant Seattle Police Officers' Guild (the "Guild") argues that the Commission has authority to investigate and ascertain whether SMC Chapter 4.08 and the PSCSC Rules are being obeyed, and therefore, the Commission should investigate the actions of the Personnel Director and IIU for violating PSCSC Rules by interfering with the examination process. Under the circumstances of this case, the Commission declines to conduct an investigation into the actions of either the Personnel Director or the Seattle Police Department for allegedly violating PSCSC Rules.⁶

⁴ Commissioners Skagen and Bown join in this decision. Commissioner Fossos dissents.

Commissioners Skagen and Bown join in this decision. Commissioner Fossos dissents.
 Commissioners Skagen and Bown join in this decision. Commissioner Fossos dissents.

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The Commission is deeply troubled by the delay in the investigation into the allegations of cheating. However, because an investigation <u>is</u> in progress and because the Commission has adequate ordinance-based authority to remedy any harm done to the Guild and the other appellants as a result of the actions of the Personnel Director, the Commission concludes that a Commission-conducted investigation into the allegations of cheating is unnecessary at this time. The Guild's request for relief in the Guild Appeal is denied.⁷

V. The Functions of the Personnel Director pursuant to Ordinance No. 120658

In the Guild Appeal, the Guild also argues that the Personnel Director usurped the authority of the Secretary and Chief Examiner to order examinations. The Commission does not agree. Pursuant to Ordinance No. 120658 enacted in 2001, the City Council made organizational changes to the City's Public Safety Civil Service system by transferring some functions previously performed by the Secretary and Chief Examiner to the Personnel Department. SMC 4.04.040(C) now gives the Personnel Director certain duties, including several with respect to the Public Safety Civil Service system. Her duties, among other things, now include classifying positions, preparing and administering examinations, providing notice of the time and place of examinations, keeping a record of examinations, preparing a register for each class of positions, and certifying eligible registers -- the very actions at issue in this case. As a result of Ordinance No. 120658, the Personnel Director must perform these Public Safety Civil Service System functions.

Pursuant to SMC 4.04.040(C), the Personnel Director is also obligated to comply with "the applicable portions of the Rules of Practice and Procedure of the Public Safety Civil Service

⁷ Commissioners Skagen and Bown join in this decision. Commissioner Fossos dissents.

Commission" until such time that she adopts new rules. She has not yet adopted new rules. To the extent the current PSCSC Rules, which predate Ordinance 120658, still identify duties and responsibilities of the "Secretary and Chief Examiner" that have since been transferred to the Personnel Director, and as a result, conflict with the Ordinance, the language of the Ordinance prevails. Those previous duties of the Secretary and Chief Examiner, since transferred, are now duties of the Personnel Director by operation of the ordinance, regardless of language of the current Rules. The Commission concludes that the Personnel Director has performed functions mandated by ordinance and therefore, has not usurped the authority of the Secretary and Chief Examiner. The Guild's appeal is dismissed.

VI. The Personnel Director's decision not to post the Register

The PSCSC Rules provide for certain remedies in the event of cheating with respect to examinations, namely, the removal of an eligible from a register for fraudulent conduct in connection with any examination. Rule 10.13(a) "Cancellation of Eligibility" provides as follows:

Anyone's name may be removed from an eligible register for... fraudulent conduct or false statements by the eligible or by others with the eligible's collusion, in connection with any ... examination for, or securing of an appointment.

Rule 10.3(f) further provides that "such action contemplated by this Rule may also be taken for "other material reasons." In addition, Rule 8.07(e) permits the Personnel Director to reject any applicant for a promotional exam or withhold from a register or from certification an eligible, or

⁸ Ordinance No. 120658 did not, however, alter the jurisdiction of the Commission to hear appeals concerning promotional testing.

⁹ Commissioners Skagen and Bown join in this decision. Commissioner Fossos dissents.

remove an eligible from a register if the applicant or eligible "[h]as made any material false statement or has attempted any deception or fraud in connection with this or any other civil service examination." The Personnel Director is authorized to do the same if the applicant or eligible "[h]as ... in any other manner secured confidential information concerning such examination which might give an unfair advantage over other applicants in the examination." Rule 8.07(g).

The PSCSC Rules contemplate the rejection of <u>individual</u> applicants and eligibles for cheating on promotional examinations. However, they do not expressly address the situation at issue in these appeals, namely, what procedure should apply when the Personnel Director believes that an entire examination process has been tainted by cheating. In the first instance, the Commission concludes that a decision not to post a register and to nullify an examination is within the purview of the Personnel Director, inherent to and consistent with her duties to administer examinations and certify registers. Such decisions, however, are subject to appeal and review by this Commission. Absent proof of fraudulent behavior and a showing that cheating did occur or the entire test was, in fact, compromised, a decision by the Personnel Director not to post or to nullify a register, is arbitrary -- and contrary to the spirit of the Rules.

It would not be reasonable to afford a group of applicants fewer protections when the Rules clearly provide standards and protections for individuals accused of the very same behavior. An appeal to the Commission regarding the appropriate application of Rule 8.07 would necessarily involve evidentiary proof of the applicant's fraudulent behavior to support any decision to remove an individual from a register. The Commission concludes that such a showing is also necessary to support the Personnel Director's decision in this case to refuse to post the register based on mere allegations of cheating.

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It is undisputed that the Personnel Director refused to post the register, nullified the original oral assessment, and scheduled a new exam before the investigation was completed. At hearing, the Personnel Director testified that there were many "allegations" of cheating during the examination – indeed, enough to cast "suspicion" on the entire examination process to such an extent that she felt obligated to refer the matter for an investigation. While the Personnel Director testified that she had "sufficient information" to conclude that the assessment center had been compromised, she did not testify as to what that information consisted of, or what additional evidence she was privy to. As a result, she did not offer the Commission or appellants any details regarding the information she had received.

There is no evidence in the record to substantiate the allegations of cheating, other than the personal belief of the Personnel Director and the Director of the Office of Professional Accountability. The Commission concludes that the decision of the Personnel Director to refuse to post the register in advance of the completion of the IIU investigation is premature, arbitrary, and not supported by the facts presented to the Commission. In the absence of any evidence to support the Personnel Director's decision, it remains the opinion of a majority of this Commission that the Personnel Director had no basis to refuse to prepare and post the register. Her later action rescinding the scheduling of a new oral assessment and her decision to nullify the original oral assessment does not cure her refusal to prepare and post the register.

10 Commissioners Bown and Fossos join in this decision. Commissioner Skagen dissents.

ORDER

The Commission's majority decision dated October 9, 2002 is withdrawn. The above-referenced appeals are consolidated. The appeal of Brown, Bartley, Monson, Moran, Stevens, et al. and the appeal of Clay Monson are sustained. The Personnel Director is hereby ordered to prepare, certify, and post a register from the results of the 2002 sergeants' promotional examination, including the oral assessment portion. The appeal of Appellant Stevens is dismissed with prejudice. The appeal of the Seattle Police Officers' Guild is dismissed with prejudice.

David C. Bown

Noreen Skagen

James A. Fossos

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