

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The table produced by IDIS, seen below, represents not a lack of progress in attaining the annual goals anticipated for the 2014 program year but rather depicts the uneven implementation and institutionalization of the eConPlan system and requisite online reporting of data into our periodic service documentation practices. CDBG Administration staff will take this report as produced by HUD's IDIS data system and review our data reporting practices to meet the system's requirements. In the meantime, our assessment of progress produced the following observations and comments:

- Our public service dollars, focused exclusively on homeless shelters and services, continue to provide vital overnight and day shelter to nearly 5,500 homeless persons.
- Parks upgrades program improved 21 local parks serving over 115,000 residents of Seattle's qualifying low- and moderate-income neighborhoods.
- Thirteen low- or moderate-income and senior / disabled homeowners received financing for major repairs to their homes, extending the life and value of the houses, allowing the occupants to remain in their homes, and preventing the encroachment of blight into their neighborhoods.
- In addition, 673 other homes, owned by seniors, disabled persons, and low- and moderate-income persons, received minor health- and safety-related repairs.
- Business development training and technical assistance was provided to 567 microenterprises via classroom workshops and individual assistance.

Our effort to provide loans to microenterprise businesses was hampered by staff turnover at the subcontracted provider. We have since met with the new staff and brought them up to speed on program processes and requirements and expect this project to produce results in 2015.

With these numbers, and other qualitative and quantitative information from providers, we assess that our progress in meeting our Consolidated Plan objectives are on track and that our funds are being used for the correct purposes. This assessment is made within the context of the City's overall budget and other resources available to assist low- and moderate-income persons, such as the Families and Education Levy

and the Housing Levy.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Economic and Neighborhood Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	115511		0	115511	
Economic and Neighborhood Development	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	0	12		0	12	
Economic and Neighborhood Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	2875	841	29.25%	560	841	150.18%
Economic and Neighborhood Development	Non-Housing Community Development	CDBG: \$	Other	Other	15	0	0.00%			
Homelessness Prev., Intervention & Hous Stability	Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$1779541 / ESG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	11027		3950	11027	279.16%

Homelessness Prev., Intervention & Hous Stability	Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$1779541 / ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	30000	5495	18.32%	12060	5495	45.56%
Homelessness Prev., Intervention & Hous Stability	Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$1779541 / ESG: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	0	225		0	225	
Homelessness Prev., Intervention & Hous Stability	Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$1779541 / ESG: \$	Homelessness Prevention	Persons Assisted	1200	0	0.00%			
Homelessness Prev., Intervention & Hous Stability	Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$1779541 / ESG: \$	HIV/AIDS Housing Operations	Household Housing Unit	820	0	0.00%			
Increase Access to Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Rental units constructed	Household Housing Unit	225	0	0.00%	45	0	0.00%
Increase Access to Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	3150	686	21.78%	650	686	105.54%
Increase Access to Affordable Housing	Affordable Housing Public Housing	CDBG: \$ / HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	60	0	0.00%	11	0	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Through internal budget controls, the use of Consolidated Plan funds in 2014 was consistent with the priorities and objectives of the 2014 Annual Action Plan. Particularly for CDBG, funds were used to provide support to five neighborhood business districts, six providers of services or shelter to homeless persons, affordable housing planning efforts, the construction of affordable housing at Seattle Housing Authority's Yesler Terrace redevelopment project, and parks upgrade projects. In addition, a homeless shelter provider was provided funds to acquire a new shelter location, and a school-based health clinic received funds to assist with renovation.

Our internal budget controls remain in place to ensure that funds are expended in accordance with adopted annual action plans.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	HOPWA	ESG
White	4,480	10	80	4,293
Black or African American	3,612	5	50	4,131
Asian	293	4	1	327
American Indian or American Native	431	0	5	545
Native Hawaiian or Other Pacific Islander	184	3	1	173
Total	9,000	22	137	9,469
Hispanic	496	0	38	1,669
Not Hispanic	8,504	22	161	7,059

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

HUD and HMIS allows people to identify with one or more races and will count each time someone registers a race; thus totals for population served and Race & Ethnicity break outs will not match. In addition, this table does not allow an Unknown category, which also skews data.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG		27,000,000	6,253,846
HOME		7,500,000	386,530
HOPWA		5,100,000	1,779,541
ESG		2,028,000	780,457

Table 3 – Resources Made Available

Narrative

Please note that the City's match calculations for HOME and methodology are under review by the local HUD field office. Final numbers will be provided pending completion of HUD's review. (See Table 5 and 6).

Also note that data for Table 8 is not provided because there were no relevant projects worked on in 2014 according to the Office of Housing.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description

Table 4 – Identify the geographic distribution and location of investments

Narrative

In 2014, the City did not target the use of Consolidated Plan funds to any particular geographic areas. We use funds where the impact will be the greatest and where opportunities arise for projects consistent with goals set forth in the 2014-2017 Consolidated Plan.

The City encourages production and preservation of affordable housing throughout the City to increase the availability of housing for low and moderate income households in higher opportunity areas and more choice for these families' desired location. The City Office of Housing also considered housing projects that support focused community development investments that raise the quality of life for residents and minimize displacement of low-income residents.

In addition, the Office of Economic Development conducted surveys and outreach to business districts where there are a high percentage of low-and moderate-income residents. 2014 investments were

targeted to low and moderate-income owners or those that commit to the creation of jobs benefitting low-and moderate-income individuals.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

- HOPWA funds successfully leverage a number of other housing and services resources. In 2014, **more than \$2 million** was leveraged from local, state and federal resources, such as funds from Ryan White HIV/AIDS Treatment Act, Housing Choice Voucher Program, Shelter Plus Care and other HUD housing programs. In addition, HOPWA-funded organizations receive support from private donors, foundations funds, and in-kind contributions from a broad base of volunteers in our community.
- The City of Seattle collaborates with King County to support the Seattle/King County Continuum of Care. In 2013-2014, a Continuum of Care agency successfully leveraged **more than \$18.9 million** in one-time construction costs for a new permanent supportive housing project that will assist homeless individuals who are disabled. The contribution represents local government dollars, state and federal resources, as well as private investments. Additionally, a variety of services that are integral to programs assisting the homeless were leveraged by agencies renewing Continuum of Care funding. These resources, valued at an estimated **\$33.3 million**, include mental health and substance abuse counseling, medical and dental care, housing stability case management, and reduced fare Metro bus tickets and reduced cost or free meal programs.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	0
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	0
4. Match liability for current Federal fiscal year	131,633
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	(131,633)

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
495,691	591,544	240,000	0	775,235

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 – Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		3		7,350,000		
Businesses Displaced		4		59,075		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	138	1	75	46	2	14
Cost	244,966	244,966	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	20	15
Number of Non-Homeless households to be provided affordable housing units	22	28
Number of Special-Needs households to be provided affordable housing units	7	5
Total	49	48

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	40	48
Number of households supported through Rehab of Existing Units	650	673
Number of households supported through Acquisition of Existing Units	9	0
Total	699	721

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

For CDBG funded projects the summary follows:

IDIS # 4352 SHA 820 Yesler Way

Development of the new building is well underway and the project is approximately 28% complete. Consultant's estimated completion date is 10/31/2015. According to the OH Project Manager, data regarding number of affordable units rehabbed and client demographics will be provided upon project completion in 2015.

IDIS # 4385 Capital Hill Housing - Haines Apartment 1415 East Olive Street

Rehabilitation project is well underway. The project is approximately 44% complete. According to OH Project Manager, data regarding number of affordable units rehabbed and client demographics will be provided upon project completion.

Office of Economic Development

IDIS # 4423 2014 RVCDF Mercy Housing Sound Transit Site

The acquisition transaction for the real property located at 6940 Martin Luther King Jr. South has been completed. data regarding number of affordable units rehabbed and client demographics will be provided upon project completion. The Rainier Valley Community Development Fund indicates that projected date of completion is December 2015.

IDIS # 4424 2014 RVCDF LIHI MLK Parcel Loan

The acquisition transaction for the real property located at 7540-7544 Martin Luther King Jr. Way South has been completed data regarding number of affordable units rehabbed and client demographics will be provided upon project completion., which may be in 2016 or 2017 depending on whether an adjacent parcel of land can be acquired for L-M housing.

For HOME funded projects:

There were small changes in the population mix of the City's HOME-funded projects this year, based on a determination of the best overall balance for the project. The actual number of households supported through Acquisition of Existing Units is zero because funds were shifted out of the Homebuyer program and into the Rental Production programs through a Substantial Amendment.

Discuss how these outcomes will impact future annual action plans.

No significant changes in projects funded at this time. These projects tend to be two and three year carryover projects, and as such may not produce completed units in a specific year. The City will continue to fund the production of units for homeless persons, non-homeless and special needs households in housing that provides a supportive environment for its residents.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	0	25

Low-income	0	23
Moderate-income	0	0
Total	0	48

Table 13 – Number of Persons Served

Narrative Information

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

HSD invests in client-centered emergency services that seek to provide clients with wrap-around services to help them access safety and security while homeless and rapidly transition to stable housing.

HSD funds outreach services that specifically focus on people staying in sanctioned and unsanctioned encampments, people living in their cars, families with medical needs, and adults in the Downtown Core. Outreach develops relationships and provides connections with emergency shelter and other supportive services identified as needs by clients.

Addressing the emergency shelter and transitional housing needs of homeless persons

Coordinated Entry systems for families with children and youth/young adults also provide a coordinated assessment and entry into time-limited housing for YYA, and emergency shelter, transitional housing, and rapid rehousing for families. These systems utilize a client assessment tool to assess individual needs. Eligible single adults can access services through Client Care Coordination, and our continuum is currently developing a coordinated assessment tool for all single adults experiencing homelessness.

Homeless persons can also access case management services and support at nearly all of the City-funded emergency shelter programs, and many of the Day Center Programs. Homeless adults and families can also access case management and shelter/service referrals and assistance through street outreach providers.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Locally, our CoC is re-launching our Ten Year Plan, focusing on making homelessness rare, brief and one time. Our continuum funds a variety of services such as rental assistance, eviction prevention, and tenant education, intended to prevent people from entering homelessness. We fund homeless services that are intended to shorten the time someone is homeless, including diversion, emergency shelter,

rapid rehousing, outreach, and other survival services, as well as services intended to prevent people from returning to homelessness, such as housing stability services, case management, and permanent supportive housing.

We have also launched diversion and rapid rehousing pilots for families, and are working to integrate diversion resources into other program areas, to move people quickly from homelessness into housing, diverting them from shelter, as well as preventing people from entering homelessness.

For detail on the trends and investments the City of Seattle has made over the last decade in the areas of homelessness prevention and intervention specifically please visit our website at <http://www.seattle.gov/humanservices/emergencyservices/> to read the full Investment Analysis.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The Career Bridge program serves adult men who are exiting the criminal justice system, and offers job readiness, workforce training, social services, and grassroots community support networks to support participants with skill development, connections to employment, specifically a pathway to longer-term careers, and achieve personal stability. The program focuses on developing pathways to economic opportunity and strengthening families and the community. Career Bridge is not a homeless service program; however, approximately 68% of participants are unstably housed or homeless and a majority of participants present with one or more barrier to housing.

Our Continuum of Care (CoC) is revamping our CEA system for families, to lower and standardize criteria for entry into transitional housing, while at the same time working on systems transformation work to transition transitional housing stock to permanent housing. Our continuum is also developing and launching a coordinated assessment for single adults, which would only cover entry into transitional and permanent housing programs.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Seattle Housing Authority published their 2011-2015 Strategic Plan (Download the 2011-2015 Strategic Plan) which includes five strategic directions that are expected to be the focus of Seattle Housing Authority's activities:

- Expand housing opportunities for low-income residents across Seattle by maintaining and expanding the supply of low-income housing stock.
- Expand housing access and choice for low-income residents using Housing Choice vouchers.
- Assist housing participants in gaining access to education and employment opportunities so they can improve their lives.
- Provide additional services to, and increase the stock of housing for, low-income seniors.
- Partner with others to create healthy, welcoming and supportive living environments in Seattle Housing Authority communities.

The plan also outlines three management strategies the housing authority will employ to succeed in following the strategic directions mentioned above:

- Manage the Seattle Housing Authority as effectively as possible to meet the agency's mission.
- Identify and implement sustainable practices throughout the agency to minimize impact on the environment.
- Promote a healthy, engaged and productive workforce.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The Seattle Housing Authority (SHA) is one of 39 housing authorities across the country participating in the U.S. Department of Housing and Urban Development (HUD) Moving to Work (MTW) program, which allows the agency to test innovative methods to improve housing services and to better meet local needs. As a participant in MTW, Seattle Housing Authority may propose and implement alternatives to federal regulations for certain issues, which are spelled out in an agreement between HUD and the agency. The MTW program has three primary objectives:

- Reduce costs and achieve greater cost effectiveness in federal expenditures
- Give incentives to families to become economically self-sufficient
- Increase housing choices for low-income families

2014 will be Seattle Housing Authority's sixteenth year in the MTW program.

Seattle Housing Authority proposed four new MTW activities with a goal of streamlining administrative processes, helping households maintain their safety and housing stability, and overcoming barriers to housing choice.

1. Streamline income verification to increase consistency in requirements based on staff and resident input.
2. Implement a transfer program to assist public residents and voucher participants who become survivors of domestic violence to move to another jurisdiction to increase their safety.
3. Change eligibility rules to disregard any emergency assistance funds provided by other resources when determining a household's eligibility for public housing.
4. Implement 2014 pilot to create a flexible fund for security deposits or similar move related costs to increase tenants likelihood of moving to permanent housing. The assistance is in the form of loans with a repayment term of two years; which may be forgiven if the household meets certain goals related to their move.

These initiatives involved residents in planning and testing for desired outcomes. For more detail on the 2014 Moving to New Ways summary please visit the SHA website at <http://www.seattlehousing.org/news/mtw/> .

Actions taken to provide assistance to troubled PHAs

Not applicable.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Staff from CDBG Administration, the Office of Housing and the Office of Economic Development contributed significant time soliciting input and participating in efforts to craft the Comprehensive GMA Plan to ensure that the issues noted above are addressed. Briefly:

Seattle 2035: Seattle's Comprehensive Growth Management Act (GMA) Plan: The Comprehensive Plan is an opportunity to define how Seattle will grow over the next twenty years. A strong plan is critical to meeting Seattle's goals for environmental sustainability, shared economic prosperity and racial equity. The hope among City Council members is for a people-centered plan where policies are defined to both create more housing and achieve housing units that work for families and people at all income levels. A people-centered plan begins with a deep understanding of who is currently benefitting from growth in the city and who may be displaced or left behind.

The Planning, Land Use and Sustainability (PLUS) Committee of City Council prioritized the following principles for the Comprehensive Plan:

1. Defining equitable development in a way that underpins the rest of the goals and policies.
2. Measuring displacement to understand the existing and changing conditions of neighborhoods in order to meet the needs of residents and incorporating tools for identifying communities at risk for displacement.
3. Coordinating infrastructure with growth to ensure that infrastructure grows and improves alongside new development and dense neighborhoods remain livable places.
4. Integrating education and workforce development which are central to successful and equitable growth
5. Building a carbon neutral city with robust infrastructure for transit, walking and biking by 2050.

The City's Planning Commission developed a series of themes representing directions already in the draft Comprehensive Plan and potential new directions under consideration to provide context for the ongoing input to the Plan. Key themes focus on:

- Becoming a climate-friendly city
- Investing in growing neighborhoods

- Building on transit
- Building healthy, complete communities
- Creating more housing choices
- Prioritizing great design and encourage innovation

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The primary and continuing need is for more housing options all along the continuum. The following actions are highlights of multiple efforts to increase housing options for vulnerable and LMI populations in the City:

- In 2014, the Human Services Department invested **\$40.84 million** across 179 contracts and 55 agencies to assist single adults, youth, young adults, families survivors of domestic violence, older adults, and veterans at risk of homelessness or experiencing homelessness.
- Shelter Expansion: Adding 150 beds, including 15 for homeless young adults, by early 2015.
- Encampments: Proposing and passing an ordinance to make a limited number of unused, vacant lots on private and public land in non-residential areas available for authorized encampments, not including City parks. Services are to be offered to provide a pathway to housing. Data will be collected to inform policy decision making. Funding should be made available to defray the costs of organized encampments as a temporary part of the continuum in the crisis response system yet not as a long-term solution to homelessness.
- Process Improvements: The City of Seattle Department of Planning and Development (DPD) and Human Services Department (HSD) will each work to create efficiencies and resources intended to develop new shelters through the City's application processes.
- Planning for additional funding in 2014 and 2015 for Single Adults shelter and Family Housing Coordination (FHC)
- HALA: Mayor Murray and members of City Council have called together leaders in the community to help develop a bold agenda for increasing the affordability and availability of housing in Seattle by convening a Housing Affordability and Livability Advisory Committee. The twenty-eight member stakeholder Advisory Committee includes renters and homeowners, for-profit and non-profit developers and other local housing experts. The Advisory Committee will seek to identify and evaluate policy options to create more available housing for people all along the income spectrum. They will review every piece of the housing puzzle, including exploring innovative ideas to pilot new types of housing, the impact of accessory dwelling units, new efforts to preserve existing affordable housing, opportunities to stretch our valuable Housing Levy dollars using public-private partnerships, and more.

HALA hosted three Community Open Houses during the months of November and December 2014 to receive community feedback early in their process. More information and materials, including Key Background Data and Goals and Values, set for the Housing Affordability agenda are available at:

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City recognizes the need to decrease the level of lead-based paint hazards in residential units improved with City or federal funds. Contractors/workers doing repair or weatherization through one of OH's programs are required to utilize lead-safe work practices. Contractors who perform work for the home repair program are required to complete lead-safe training. The City's six primary contractors for weatherization work have pollution occurrence insurance and each contractor's field employees must possess lead-safe renovator certification. OH's property rehabilitation specialists, who specify and subsequently inspect all weatherization work, are all certified in lead-safe work practices. OH owns an X-ray fluorescence spectrum analyzer in order to accurately determine the presence of lead-based paint in buildings receiving OH HomeWise Program (weatherization) services. This equipment allows the identification of lead-based paint whenever it is present in a home. All OH HomeWise Program clients are provided information regarding lead poisoning prevention.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The City of Seattle gained national attention when Mayor Murray and Council voted to increase minimum wage for all workers to \$15.00 over the next five years. We are ahead of Congressional action at this point, which has failed to even pass President Obama's proposal for an increase in the federal minimum wage to \$10.00 an hour. For more information visit \$15 Minimum Wage.

In addition, the City recognized that paid sick leave for all workers within Seattle is a necessary part of keeping residents from job instability and loss of income due to unavoidable illness. For more information visit the Seattle Office for Civil Rights at Paid Sick and Safe Time

Also in late 2014, the City began working on implementing Paid Parental Leave for City Employees. As a major employer in Seattle with a significant number of workers in low to moderate income jobs, the move to acknowledge time for caretaking of newly birthed or adopted children without loss of wages is another progressive step towards decreasing families experiencing poverty.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The City of Seattle is strengthening its internal structure to manage the CDBG program and the other consolidated plan funds as well. In 2014 the CDBG Administration Unit fully implemented a SharePoint-based activity eligibility tracking system. This system facilitates timely and accurate reviews of all CDBG-

funded activities prior to a release of funds. SharePoint is also being used to track compliance with environmental mitigation requirements for HOME and CDBG-funded activities. We are also implementing the practice of consultation between CDBG Administration and the implementing departments prior to submission of a project, especially economic development projects, to ward off any subsequent regulatory complications or issues.

The Human Services Department is moving toward full implementation of its outcomes framework for funding decisions. Affecting our use of CDBG public service, HOPWA, and ESG funds, this framework involves a clear delineation of the outcomes the department expects as a result of its funding pattern. This will lead to more accountability and transparency in the use of and results from its funding activities.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

As noted in the questions above, the Mayor convened 28 community advisors including public and private housing developers to recommend priority actions to increase housing choice and housing affordability. Among the recommendations being discussed is a potential levy on new development projects across the City to help fund affordable housing units.

In tandem with HUD's own 10 year Plan to End Homelessness, the City's Committee to End Homelessness (CEH), made up of public and private advisors, completed its first decade of work and is updating its plan to continue into 2015 and beyond. See details of the Committee's strategic plan at <http://cehkcstrategicplan.org/>.

Public/Private collaboration is not limited to planning in the City of Seattle. The Yesler Terrace Redevelopment project began in 2006 and continues through 2014 and beyond. The critical upgrade and infrastructure, business and mixed use and housing improvements designed into this project will ultimately benefit many of the 1,200 current who earn, on average, \$14,000 a year. For more detail, visit, Yesler Terrace Redevelopment and Seattle Housing Authority's summary at Renewing Yesler Terrace's Promise.

On the services side, the City created two new offices in 2014, the Department of Education and Early Learning and the Office of Immigration. Both departments emphasize bringing the private sector into efforts wherever possible and both programs are using CDBG funds for expanding English as A Second Language services.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

HSD is due to update our Analysis of Impediments to Affordable Housing under the new Interim Rules for Assessment of Fair Housing (AFH). We have already launched work to create a network of contributing editors, consultants and stakeholders both in and outside of the City. We are reviewing data and promising practices from the National Community Reinvestment Coalition, PolicyWorks, and the King County and Seattle Office for Civil Rights, including local fair housing advocates.

The AFH will be grounded in the City's ongoing initiative for Race and Social Justice and build on methods already relied upon to gain broad community input such as the Department of Neighborhoods Racial Equity Tool Kit. Target deadline for submission of the AFH is spring of 2017 in tandem with the development of the 2018-2021 Consolidated Plan.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Monitoring starts with accurate and adequate documentation of service levels, participant characteristics, specific actions taken to assist participants, service outcomes, project accomplishments, contractor progress payments, and expenditure records. All subrecipient agencies are required to develop and maintain this documentation under the terms of their respective funding agreements. City departments implementing CDBG-funded programs utilize the following documents and means to ensure compliance with documentation requirements:

- Monthly Status Reports that are submitted with each invoice
- Quarterly Narrative Reports
- Mid-Year Progress and Year End Reports
- On-Site Monitoring/Assessment of each agency, depending on nature of activity, some are completed on an ongoing basis, on an annual basis and/or on a 2 to 3 year cycle. On-site monitoring involves verification of reports that have been submitted, a review of organizational fiscal practices, and compliance with contract terms, which routinely include non-discrimination and affirmative marketing clauses.
- On-going monitoring of agency throughout the year associated with the submittal of detailed invoice documentation
- Depending on the program, compliance/eligibility is accomplished through the loan qualification process, including on-site physical inspections and tenant file reviews, in-progress inspections as needed and final inspections are completed before issuing final payment to a contractor
- Whenever an urgent issue is identified, a site visit is scheduled by the Project Manager/Specialist and a singular review is done addressing a specific compliance issue or a more in-depth agency review is done on a case-by-case basis, as needed

Labor Standards monitoring is conducted when necessary on qualifying projects. Our Labor Standards monitor conducts preconstruction meetings, on-site interviews with workers, and reviews weekly certified payrolls. Enforcement of Davis-Bacon wages and related requirements are enforced by the withholding of retainage from contractors.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The draft CAPER is made available for public review and comment during the month of March. Comments are solicited and due prior to March 31 to ensure they can be included where appropriate into the submitted CAPER.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

Our program objectives did not change during the course of the year. The transfer of the Senior Services Minor Home Repair Program from one department to another was not as smooth as hoped for, with the new staff needing more time than anticipated to get up to speed. Though the program deals with capital improvements, operationally it should have been viewed as a service contract and assigned to someone with that background, as opposed to someone with a capital and facilities background. For 2015, the contract will be handled by staff with that experience.

Our microenterprise loan provider experienced staff turnover that resulted in staff with limited knowledge of CDBG requirements. Consequently, in 2014 the microenterprise program did not expend any of the funds set aside for loans. We have since met with them and brought them up to speed on program processes and requirements.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?	No
--	----

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	30	39
Tenant-based rental assistance	36	27
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	75	15
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	15	52

Table 14 – HOPWA Number of Households Served

Narrative

HOPWA full CAPER is attached. Data indicates at least 80% of of all HOPWA Housing Assistance households served exit the program into a stable housing environment per HUD definition.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	SEATTLE
Organizational DUNS Number	612695425
EIN/TIN Number	916001275
Identify the Field Office	SEATTLE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Seattle/King County CoC

ESG Contact Name

Prefix
First Name
Middle Name
Last Name
Suffix
Title

ESG Contact Address

Street Address 1
Street Address 2
City
State
ZIP Code 98124-4215
Phone Number
Extension
Fax Number
Email Address

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date	01/01/2014
-------------------------	------------

CAPER

29

Program Year End Date

12/31/2014

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: SEATTLE

City: Seattle

State: WA

Zip Code: 98104, 1850

DUNS Number: 612695425

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 7120960

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	362
Children	331
Don't Know/Refused/Other	9
Missing Information	0
Total	702

Table 15 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	3,933
Children	91
Don't Know/Refused/Other	7
Missing Information	0
Total	4,031

Table 17 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	5,292
Children	47
Don't Know/Refused/Other	0
Missing Information	0
Total	5,339

Table 18 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	9,587
Children	469
Don't Know/Refused/Other	16
Missing Information	0
Total	10,072

Table 19 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	7,969
Female	2,032
Transgender	37
Don't Know/Refused/Other	24
Missing Information	0
Total	10,062

Table 20 - Gender Information

6. Age—Complete for All Activities

	Total
Under 18	451
18-24	774
25 and over	8,811
Don't Know/Refused/Other	34
Missing Information	2
Total	10,072

Table 21 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	969	6	0	388
Victims of Domestic Violence	126	35	0	23
Elderly	474	19	0	219
HIV/AIDS	43	0	0	20
Chronically Homeless	5,849	0	0	2,296
Persons with Disabilities:				
Severely Mentally Ill	2,458	25	0	2,342
Chronic Substance Abuse	1,907	3	0	1,586
Other Disability	323	83	0	119
Total (Unduplicated if possible)	530	0	0	0

Table 22 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	85,410
Total Number of bed-nights provided	85,555
Capacity Utilization	100.17%

Table 23 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	58,625
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	266,600
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	325,225

Table 24 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	0

Table 25 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Essential Services	0	0	32,053
Operations	0	0	348,568
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	0	0	380,621

Table 26 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
HMIS	0	0	0
Administration	0	0	0
Street Outreach	0	0	215,358

Table 27 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2012	2013	2014
705,846	0	0	705,846

Table 28 - Total ESG Funds Expended

11f. Match Source

	2012	2013	2014
Other Non-ESG HUD Funds	0	0	1,321,281
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	784,790
Private Funds	0	0	82,000
Other	0	0	102,179
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	2,290,250

Table 29 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2012	2013	2014
2,996,096	0	0	2,996,096

Table 30 - Total Amount of Funds Expended on ESG Activities

Attachment

PR 26 Financial Summary

2014 CDBG PR26 Financial Summary			
Consolidated Annual Performance Evaluation Report			
Community Development Block Grant Program	U.S. Department of Housing Urban Development		
1. Name of Grantee	2. Grant Number	3. Reporting Period	
CITY OF SEATTLE	B-14-MC-53-0005	FROM 1/1/2014	TO 12/31/2014
Part I: Summary of CDBG Resources			
1. Unexpended CDBG Funds at end of previous reporting period			11,960,266.26
2. Entitlement Grant			9,355,961.00
3. Surplus urban Renewal Funds			
4. Section 108 Guaranteed Loan Funds			
5. IDIS Program Income Received	Grantee	Subrecipient	
a. Revolving Funds	47,486.62		47,486.62
b. Other (Identify below)			
Homewise Program Income	385,521.89		385,521.89
Other Program Income			
Miscellaneous Revenue			
c. Total Program Income	433,008.51		433,008.51
6. Returns			
7. Adjustment to compute Total Available			
8. Total CDBG Funds available for use during this reporting period (1+...+7)			21,749,235.77
Part II: Summary of CDBG Expenditures			
9. IDIS Disbursements other than 108 Repayments or Planning/Admin			7,933,864.61
10. Adjustment to compute total amount subject to LowMod Benefit			1,291,992.26
11. Amount Subject to LowMod Benefit (9+11)			9,225,856.56
12. Disbursed in IDIS for Planning and Administration			1,329,276.65
13. Disbursed in IDIS for 108 Repayments			
14. Adjustment to compute Total Expenditures			176,149.87
15. Total Expenditures (Sum 11+...+14)			9,470,430.32
16. Unexpended Balance (8 - 15)			12,278,805.45
Part III: Low/Mod Benefit			
17. IDIS Expended for Low/Mod Housing in Special Areas			
18. IDIS Expended for Low/Mod Multi-Unit Housing			1,200,000.00
19. IDIS Disbursed for Other Low/Mod Activities			6,733,864.3
20. Adjustment to Compute Total Low/Mod Credit			1,291,992.26
21. Total Low/Mod Credit (17+...+20)			9,225,856.56
22. Percent low/Mod Credit (21/11)			100%
Low/Mod Benefit for Multi-year Certification			
23. Program Years (PY) Covered in Certification			Not Applicable
24. Cumulative Net Expenditures Subject to Low/Mod Benefit			Not Applicable

City of Seattle CAPER – March 31, 2015

25. Cumulative Expenditures Benefiting Low/Mod Persons			Not Applicable
26. Percent Benefit to Low/Mod Persons (Line 25/Line 24)			Not Applicable
Part IV: Public Service (PS) Cap Calculations			
27. PS Disbursements in IDIS			3,572,870.16
28. PS Unliquidated Obligations at end of Current PY			0.00
29. PS Unliquidated Obligations at end of Previous PY			86,479.56
30. Adjustment to Compute Total PS Obligations			-113,518.16
31. Total PS Obligations (27+28+29+30)			3,372,872.44
32. Entitlement Grant			9,355,961.00
33. IDIS Prior Year Program Income			657,933.11
34. Adjustment to Compute Total Subject to PS Cap			0.00
35. Total Subject to PS Cap (32+33+34)			10,013,894.11
36. Percent Funds Obligated for PS Activities (31/35) See Footnote(s): Note 1			33.68%
Part V: Planning and Program Administration (PA) Cap			
37. PA Disbursements in IDIS			1,505,423.52
38. PA Unliquidated Obligations at end of Current PY from IDIS			198,930.48
39. PA Unliquidated Obligations at end of Previous PY			126,633.41
40. Adjustment to Compute Total PA Obligations			
41. Total PA Obligations (37+38-39+40)			1,577,720.59
42. Entitlement Grant			9,355,961.00
43. Current Year Program Income from IDIS			433,008.51
44. Adjustment to Compute Tot Subject to PA Cap			
45. Total Subject to PA Cap (42+43+44)			9,788,969.51
46. Percent Funds Obligated for PA Activities (37/41)			16.12%

Footnote(s):

Note 1: The maximum amount of funds that can be obligated for public services according to (24 CFR Part 570.201(e)(ii)(a-d)) is based on the City of Seattle's 1982 and 1983 program year. The City's obligations were as follows:

Year	Grant Amount	Obligated Amount	% of Grant
1982	13,714,000	4,974,800	34.96%
1983	13,348,000	4,974,800	35.92%

The regulations at 570.201 (e) stipulate that a recipient that obligated more than 15% from its 1982 or 1983 grant may continue to obligate more CDBG funds than allowable as long as the total amount obligated in any program year does not exceed:

- 1) 15% of the program income it received during the preceding year, plus
- 2) the highest of the following amounts:
 - A. The amount determined by applying the percentage of the grant it obligated in 1982 or 1983 against its current program year; or
 - B. The amount of funds obligated for public services in the 1982 or 1983 program year.

2014 Full HOPWA CAPER



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

Revised 10/31/2014

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Previous editions are obsolete

form HUD-40110-D (Expiration Date: 10/31/2017)

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (grantees) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all grantees and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who: (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

"grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See Part 5: Determining Housing Stability Outcomes for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA)
 Consolidated Annual Performance and Evaluation Report (CAPER)
 Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number WAH14F001		Operating Year for this report From (mm/dd/yy) 01/01/14 To (mm/dd/yy) 12/31/14	
Grantee Name City of Seattle, Human Services Department			
Business Address 700 5th Ave Ste 5800			
City, County, State, Zip Seattle King WA 98104-5017			
Employer Identification Number (EIN) or Tax Identification Number (TIN) 91-6001275			
DUN & Bradstreet Number (DUNS): 790597814		Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:	
Congressional District of Grantee's Business Address WA-007			
* Congressional District of Primary Service Area(s) WA-007 WA-002			
* City(ies) and County(ies) of Primary Service Area(s) Cities: Seattle Everett Counties: King Snohomish			
Organization's Website Address http://www.seattle.gov/humanservices/		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.	

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Catholic Community Services of Western Washington		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency	Lisa Hunt, Associate Housing Director			
Email Address	LisaHu@ccsww.org			
Business Address	1918 Everett Avenue			
City, County, State, Zip,	Everett	Snohomish	WA	98201-3607
Phone Number (with area code)	425-257-2111			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	91-1585652	Fax Number (with area code) 425-257-2120		
DUN & Bradstreet Number (DUNS):	799006341			
Congressional District of Project Sponsor's Business Address	WA-002			
Congressional District(s) of Primary Service Area(s)	WA-002 WA-001			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Everett, Edmonds, Arlington, Mountlake Terrace, Bothell, Marysville, Snohomish, Mill Creek, Lynnwood		Counties: Snohomish	
Total HOPWA contract amount for this Organization for the operating year	\$266,127.00			
Organization's Website Address	www.ccsww.org			

Is the sponsor a nonprofit organization? Yes No

Does your organization maintain a waiting list? Yes No

Please check if yes and a faith-based organization.
Please check if yes and a grassroots organization.

If yes, explain in the narrative section how this list is administered.

Project Sponsor Agency Name Downtown Emergency Service Center		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency	Tom Teicher, Housing Contracts Manager			
Email Address	tteicher@desc.org			
Business Address	515 3rd Avenue			
City, County, State, Zip,	Seattle	King	WA	98104-2304
Phone Number (with area code)	206-515-1520			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	91-1275815	Fax Number (with area code) 206-624-4196		
DUN & Bradstreet Number (DUNS):	165580226			
Congressional District of Project Sponsor's Business Address	WA-007			
Congressional District(s) of Primary Service Area(s)	WA-007			

Area(s) City(ies) and County(ies) of Primary Service Area(s)	Cities: Seattle	Counties: King
Total HOPWA contract amount for this Organization for the operating year	\$173,350.00	
Organization's Website Address	http://desc.org/	

Is the sponsor a nonprofit or organization? Yes No

Please check if yes and a faith-based organization.
Please check if yes and a grassroots organization.

Does your organization maintain a waiting list? Yes No

If yes, explain in the narrative section how this list is administered.

Project Sponsor Agency Name	Evergreen Wellness Advocates				Parent Company Name, if applicable	N/A			
Name and Title of Contact at Project Sponsor Agency	Scott Bertani, Assistant Director								
Email Address	scott@ewadvocates.org								
Business Address	3305 Oakes Avenue, Suite 100								
City, County, State, Zip,	Everett	Snohomish	WA	98201-4409					
Phone Number (with area code)	425-740-3000								
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-3035642				Fax Number (with area code)	360-671-9882			
DUN & Bradstreet Number (DUNS):	804634467								
Congressional District of Project Sponsor's Business Address	WA-002								
Congressional District(s) of Primary Service Area(s)	WA-002 WA-001								
City(ies) and County(ies) of Primary Service Area(s)	Cities: Everett		Counties: Snohomish						
Total HOPWA contract amount for this Organization for the operating year	\$15,000.00								
Organization's Website Address	http://ewadvocates.org								

Is the sponsor a nonprofit or organization? Yes No

Please check if yes and a faith-based organization.
Please check if yes and a grassroots organization.

Does your organization maintain a waiting list? Yes No

If yes, explain in the narrative section how this list is administered.

Project Sponsor Agency Name	Lifelong AIDS Alliance				Parent Company Name, if applicable	N/A			
Name and Title of Contact at Project Sponsor Agency	Laura Jones, Housing Manager								
Email Address	laura@lifelong.org								
Business Address	210 S. Lucile Street								
City, County, State, Zip,	Seattle	King	WA	98108-2432					
Phone Number (with area code)	206-957-1762								
Employer Identification Number (EIN) or Tax Identification Number (TIN)	91-1275815				Fax Number (with area code)	206-860-6326			

DUN & Bradstreet Number (DUNS):	190494849		
Congressional District of Project Sponsor's Business Address	WA-007		
Congressional District(s) of Primary Service Area(s)	WA-002 WA-007 WA-008 WA-009		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Seattle, Renton, Federal Way, Kent, Des Moines, Kenmore	Counties: King Snohomish	
Total HOPWA contract amount for this Organization for the operating year	\$1,045,422.00		
Organization's Website Address	http://lifelongaidalliance.org		

Is the sponsor a nonprofit or organization? Yes No

Does your organization maintain a waiting list? Yes No

Please check if yes and a faith-based organization.
Please check if yes and a grassroots organization.

If yes, explain in the narrative section how this list is administered.

Project Sponsor Agency Name	Plymouth Housing Group				Parent Company Name, if applicable	N/A	
Name and Title of Contact at Project Sponsor Agency	Carole Antonich, Director of Social Services						
Email Address	cantonic@plymouthhousing.org						
Business Address	2113 Third Avenue						

City, County, State, Zip,	Seattle	King	WA	98121-2321
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Phone Number (with area code)	206-728-5369			
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Employer Identification Number (EIN) or Tax Identification Number (TIN)	91-1122621	Fax Number (with area code)	206-448-3496
DUN & Bradstreet Number (DUNS):	180140253		

Congressional District of Project Sponsor's Business Address	WA-007
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Congressional District(s) of Primary Service Area(s)	WA-007
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City(ies) and County(ies) of Primary Service Area(s)	Cities: Seattle	Counties: King
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Total HOPWA contract amount for this Organization for the operating year	\$62,903.00
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Organization's Website Address	www.plymouthhousing.org
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Is the sponsor a nonprofit or organization? Yes No

Does your organization maintain a waiting list? Yes No

Please check if yes and a faith-based organization.
Please check if yes and a grassroots organization.

If yes, explain in the narrative section how this list is administered.

Project Sponsor Agency Name	Sound Mental Health				Parent Company Name, if applicable	N/A	
Name and Title of Contact at Project Sponsor Agency	Annmaria Gucco, Program Manager-Supportive Housing						
Email Address	annmaria@smh.org						
Business Address	1600 East Olive Street						

City, County, State, Zip,	Seattle	King	WA	98122-2735
Phone Number (with area code)	206-302-2716			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	910818971	Fax Number (with area code)		
DUN & Bradstreet Number (DUNs):	078201399	206-324-9433		
Congressional District of Project Sponsor's Business Address	WA-007			
Congressional District(s) of Primary Service Area(s)	WA-009			
City(ies) and County(ies) of Primary Service Area(s)	Cities: Seattle		Counties: King	
Total HOPWA contract amount for this Organization for the operating year	\$56,250.00			
Organization's Website Address	http://www.smh.org			
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		If yes, explain in the narrative section how this list is administered.		

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	City of Seattle, Office of Housing		Parent Company Name, if applicable	
			N/A	
Name and Title of Contact at Subrecipient	Heather Burns, Senior Development Finance Specialist			
Email Address	heather.burns@seattle.gov			
Business Address	700 5th Ave Ste 5700			
City, State, Zip, County	Seattle	WA	98104-5017	King
Phone Number (with area code)	206-684-0346		Fax Number (include area code)	
			206-233-7117	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	91-6001275			
DUN & Bradstreet Number (DUNS):	009483561			
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address	WA-007			
Congressional District of Primary Service Area	WA-007			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Seattle		Counties: King	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$0.00			

4. Program Subrecipient Information N/A

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name				Parent Company Name, if applicable
Name and Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (include area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNS)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) and County(ies) of Primary Service Area	Cities:	Counties:		
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. Note: Text fields are expandable.

City of Seattle Human Services Department - King County & Snohomish County, WA

The City of Seattle Human Services Department (HSD) is the regional grantee and coordinator of the federally funded Housing Opportunities for Persons with AIDS (HOPWA) Program. HOPWA provides funding for housing and related support services that focus on housing stability and homelessness prevention. In 2014 HSD allocated approximately \$1.9 million for the service area consisting of King County and Snohomish County.

The HOPWA program is an integral part of our HIV/AIDS system of care services. HOPWA provides funding for a coordinated continuum of HIV-dedicated housing units designed to place and support people living with HIV and AIDS into the most appropriate housing possible, based on assessment of individual needs. The range of housing options in 2014 included transitional and permanent housing in independent units, supportive housing programs, and homelessness prevention through Short Term Rent, Mortgage, and Utility Assistance.

In King and Snohomish Counties, HOPWA funds are provided to community-based agencies delivering project-based and tenant-based rental assistance, resource development, housing placement, service-enriched housing, prevention assistance, and supportive services. In addition, HOPWA investments reach beyond the nonprofit HIV/AIDS housing system with subsidies that extend housing options into the privately owned, for-profit rental market. These subsidies provide more choice and independence for low-income persons living with HIV/AIDS.

2014 HOPWA Project Sponsors:

In 2014, six local housing and service providers expended approximately \$1.7 million in HOPWA grants and provided housing assistance to 559 households with housing and supportive services. This included 161 eligible households who received HOPWA housing subsidy assistance. See Table A for a listing of HOPWA project sponsors. HOPWA funds transitioned people from homelessness into housing, prevented displacement and homelessness, and facilitated support to maintain housing stability.

- HOPWA investments were allocated to three agencies in King County: Lifelong, Plymouth Housing Group, Sound Mental Health, and Downtown Emergency Service Center (DESC). DESC received an additional one-time grant of \$300,000 in 2014 for a capital development project which will result in 6 additional set-aside units for people with HIV/AIDS.
- In King County, Lifelong is the housing lead agency and acts as the central information, assessment, and placement point for people who are in need of independent and supported housing. The agency launched a short term rent, mortgage, and utility program in 2014, designed to prevent homelessness. They are also in the process of converting the current housing waiting list to a model intended to streamline access to housing and services. The new model will match individual needs with the "best fit" housing and reduce the time between intake and accessing housing. It will launch in 2015.
- In Snohomish County, Catholic Community Services of Western Washington (CCS) acts as the central provider for HOPWA-funded housing and services. Evergreen Wellness Advocates, now merged with Lifelong, received HOPWA funding for the first time in 2014 to fill gaps in service delivery.
- Both Lifelong and Catholic Community Services, as the lead housing referral agencies, determine eligibility for HOPWA housing subsidy assistance: persons living with HIV/AIDS and who have household incomes at or below 50% of area median income (not exceeding 80% of area median income for STRMU assistance).
- Throughout 2014, HSD staff held meetings with a number of organizations providing services to people of color, including immigrants and refugees, and HIV/AIDS-serving organizations to determine the need for some kind of navigator project to increase racially equitable access to housing resources. The organizations confirmed the need for an HIV/AIDS housing navigator for communities of color and helped to develop a scope

of work. Harborview/Madison Clinic was selected to run the pilot, and it will launch in 2015.

System Coordination, Planning and Policy Development: HOPWA staff participates in several regularly scheduled meetings relating to housing, services, and funding for low income people with HIV/AIDS. One is the quarterly HIV Housing Partnership Group, convened by Lifelong as the housing lead agency. Participants include nonprofit housing providers, housing and medical case managers, homeless programs, funders, and representation from other systems such as corrections. The purpose and goals of this group are to develop strategies to increase the inventory of housing for people with HIV/AIDS and assist with the implementation of the system change to streamline access to housing. The other group is the Ryan White-sponsored Service Providers Work Group which meets bimonthly. It is a forum for program updates and speakers on special topics of interest to providers. Housing issues and HOPWA updates have been added as a regular agenda item. HOPWA staff also attend the Ryan White Planning Council meetings, as needed, to coordinate on HOPWA/Ryan White project funding strategies.

HSD also works to align its housing resources with HSD goals and strategies, the efforts of the King County Committee to End Homelessness, and county-wide combined funding competitive processes.

HOPWA Grantee – City of Seattle Human Services Department Contacts:
 Genie Sheth and Courtney Velazquez, Senior Grants and Contracts Specialists
 Kim von Henkle, Planning and Development Specialist
 Adrienne Easter, Homeless Investments Manager
 Josh Hall, Planning Unit Supervisor

HOPWA Project Sponsors
 King & Snohomish Counties, WA

Agency	HOPWA Funding	Program Overview
Lifelong (LLAA)	\$1,045,422	LLAA is the central, HIV/AIDS housing referral and placement agency in King County. HOPWA provides funding for placement, referral, and housing supportive services to clients in units dedicated for PLWHA. HOPWA tenant-based and facility-based rental assistance assists clients in transitional and permanent housing units.
Evergreen Wellness Advocates (EWA)	\$15,000	EWA was awarded HOPWA funds to fill services gaps in Snohomish County.
Downtown Emergency Service Center (DESC)	\$173,350	DESC operates the Lyon Building, a permanent, supportive housing program. The Lyon Building provides a range of on-site supportive and housing stabilization services specifically for residents living with mental health and/or chemical dependency issues. HOPWA provides funding for supportive services in set-aside units.
Interbay Capital Funding	\$300,000	DESC also received a one-time grant off \$300,000 for a capital development project, adding 6 units to the HIV/AIDS inventory of set-aside units.
Plymouth Housing Group (PHG)	\$62,903	Plymouth Housing Group provides housing case management dedicated to reducing the risks of housing loss and homelessness for tenants residing in 40 set-aside housing units (for PLWHA at Cal Anderson House, Plymouth Place, and The Pacific). HOPWA supportive services funding assists residents maintain

Sound Mental Health	\$56,250	housing stability in PHG units. Sound Mental Health provides the supportive services staff for Kenyon House, and 18 unit permanent supportive housing development for people with HIV/AIDS, mental illness, and chemical dependency.
Catholic Community Services of Western Washington	\$266,127	Catholic Community Services provides a range of housing assistance and supportive services to PLWHA in Snohomish County. HOPWA provides funding for supportive services (housing search, referral and stabilization), short-term housing subsidy, transitional tenant-based rental assistance, and permanent housing placement.
Total	\$1,919,052 (Does not include HSD's program administration costs)	

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. **Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

Distribution of Funding by Type of Program Services:

HOPWA funding was distributed to Seattle/King County project sponsors based on funding awards made through a competitive process in 2014 and reviewing Snohomish County funding. Program expenditures in 2014 were \$1,718,665, including program administration, not shown in the funding chart above. The details for funding outcomes are included in Part 3, Chart 1: Accomplishment Data – Planned and Actual Outputs.

Housing Assistance	
32.8% Tenant Based Rental Assistance	
37.8% Permanent Housing (operating & leased units)	\$658,387
11.9% Transitional Housing (operating & leased units)	
12.3% Short-Term Rent Mortgage Utility Assistance	
5.2% Permanent Housing Placement	
Housing Development (Capital Rehabilitation)	\$279,000
Supportive Services & Housing Information Services	\$591,344
Resource Identification	\$49,921
Administration (Grantee and Project Sponsor Activities)	\$140,013

Geographic Distribution of Funding:

HOPWA funds are distributed to agencies to provide services in King County and Snohomish County. Approximately 15% of the annual HOPWA award is allocated to programs in Snohomish County. The funding level is based on the number of HIV/AIDS cases in Snohomish as a proportion of the two-county area.

Number of Households/Housing Units Receiving Assistance:

The total output for all HOPWA programs in 2014 was 559 households, including 161 households that received housing subsidy assistance. These subsidies included short-term rent, mortgage, utility assistance payment, tenant-based rental subsidies or assistance in a HOPWA-subsidized transitional or permanent housing unit (operating subsidy/leased unit), and permanent housing placement.

Summary Overview of Individuals and Families Receiving HOPWA Rental Assistance:

The HOPWA program is providing rental assistance and support to extremely low-income households, many of whom have histories of homelessness.

- The majority of the households who received housing subsidies in 2014 had extremely low incomes. About 85.7% percent had incomes that were less than 30% of the area median income.
- Homelessness: In 2014, 35 or 40% of the new individuals enrolled into HOPWA housing assistance had a history of homelessness and reported their prior living arrangement was emergency shelter, a place not meant for human habitation or a transitional or permanent housing setting for formerly homeless persons.
- Agencies reported that 8 individuals were chronically homeless before receiving HOPWA assistance.
- Housing subsidy assistance beneficiaries included 161 individuals with HIV/AIDS and 61 other persons residing with the eligible HOPWA assisted clients. Among the total 229 individual beneficiaries:
- Gender: 72.5% of beneficiaries were male; 27.1% beneficiaries were female, and 0.4% beneficiaries were transgender.
- Age: The majority are between 31 and 50 years old for HOPWA eligible individuals and beneficiaries. However, project sponsors report serving a growing number of aging clients who have been living with HIV for a long time.

Under 18 years	17.9%
18 to 30 years	7.9%
31 to 50 years	45.4%
51 years and older	28.8%

- Race and Ethnicity of individuals with HIV/AIDS:

Race	HOPWA eligible individuals %	King County Cases %
White/Caucasian	49.7%	66%
Black/African American	31.1%	17%
Other Multi-Racial	14.9%	2%
American Indian/Alaskan Native	3.1%	1%
Asian	0.6%	3%
Native Hawaiian/Other Pacific Islander	0.6%	<1%
Ethnicity		
Hispanic/Latino	23.6%	11%

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Housing Stability Outcomes:

The HUD target result for HOPWA housing assistance is that at least 80% of HOPWA clients maintain housing stability, avoid homelessness and access care. Overall, our local outcomes demonstrate that 88% of households were in stable housing in 2014 (see table below, summarized from Outcomes reported in Part 4). A total of 113 clients remained stable with a HOPWA program subsidy in 2014. People exiting transitional programs largely moved on to private housing or other non-HOPWA subsidized housing programs, including Section 8, Shelter Plus Care or community-based affordable housing projects.

Housing Stability Outcomes*

Type Hsg. Assistance	Unstable Arrangement	Temporary Stable, with Reduced Risk of Homelessness	Life Events**	Stable/Permanent Housing	% Stable
Tenant Based Rental Assistance	0	0	2	25	100%
Perm. Facility-Based	3	1	2	46	92%
Transitional/Short Term	0	0	0	15	100%
Subtotal TBRA, Permanent & Transitional Assistance	3	1	4	86	96%
Reduced Risk of Homelessness: Short-Term Assistance	Unstable Arrangement	Temporary Stable, with Reduced Risk of Homelessness	Life Events**	Stable/Permanent Housing	% Stable
STRMU	3	9	0	27	69%
Total HOPWA Hsg Assistance	6	10	4	113	88%

*The HUD target result for HOPWA Housing Assistance is: At least 80% of all Housing Assistance participants who exit the Program will do so into Stable housing environments as defined below.

HUD Stable Housing Outcomes: Other HOPWA-subsidized (temp. or perm.), other subsidized permanent housing; market-rate permanent housing; other transitional/temporary housing program; Long-term care/supportive living facility, or hospitalized

HUD Unstable Housing Outcomes: Homeless shelter/streets. Evicted, moved out whereabouts unknown, left for unknown reasons, or otherwise disconnected. Evicted, moved out whereabouts unknown, left for unknown reasons, or otherwise disconnected. Jail/Prison.

**Life Events reflect number of deaths (i.e. those who remained in housing until death). This characteristic is not factored into the housing stability equation.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

System Coordination:

HOPWA staff participates in several regularly scheduled meetings relating to housing, services, and funding for low income people with HIV/AIDS. One is the quarterly HIV Housing Partnership Group, convened by Lifelong as the housing lead agency. Participants include nonprofit housing providers, housing and medical case managers, homeless programs, funders, and representation from other systems such as corrections. The purpose and goals of this group are to develop strategies to increase the inventory of housing for people with HIV/AIDS and assist with the implementation of the system change to streamline access to housing. The other group is the Ryan White-sponsored Service Providers Work Group which meets bimonthly. It is a forum for program updates and speakers on special topics of interest to providers. Housing issues and HOPWA updates have been added as a regular agenda item. HOPWA staff also attend the Ryan White Planning Council meetings, as needed, to coordinate on HOPWA/Ryan White project funding strategies.

HSD also works to align its housing resources with HSD goals and strategies, the efforts of the King County Ten Year Plan to End Homelessness, and combined funding, county-wide competitive processes.

Leveraging Resources: In 2014, project sponsors in King and Snohomish Counties leveraged over \$2.9 million from federal, state, and local government sources, and private funds with HOPWA funds. Leveraged sources included Ryan White, Housing Choice Voucher Program, Shelter Plus Care and other HUD programs.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Technical assistance was provided to project sponsors for questions relating directly to clients around multiple issues, e.g. transitional housing extensions, unit sizes, fair market rent, reasonable accommodations, and STRMU assistance. HOPWA guidance was referenced along with requesting further information from HUD Exchange' Ask A Question system.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Housing availability and affordability have become significant issues over the past year. Rents are unaffordable and rising.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

There is a growing gap between the demand and supply for affordable housing, and rents in Seattle have continued to rise through the economic downturn of the last several years. In June 2014, the Seattle market vacancy rate was at 4.2% and the average rent for a 1 bedroom, 1 bath apartment is \$1,445 per month.¹ Additionally, there is a shortage of Section-8 rental assistance vouchers and other rental subsidy programs available.

This impacted the ability of our area lead agencies to work with clients to find apartments that met fair market rents, as required for HOPWA rental assistance. We anticipate that this will be resolved some by HUD increasing the area FMR's in early 2015.

In addition, a proportion of low income people with HIV/AIDS in need of housing continue to struggle with barriers to accessing housing such as criminal history, mental illness, and chemical dependency, particularly in Seattle/King County. Lifelong, as the housing lead agency in King County, is charged with eliminating barriers and focusing on program development that will increase the inventory of housing available to wide range of needs. In 2014, the agency began the process of redesigning the housing intake and placement process. The new system will provide early and continued engagement with people with multiple barriers in order to develop their life skills and find the "best fit" for housing. This will provide assurances to landlords and property owners and help to bring more units into the housing continuum.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

We continued to work to increase racially equitable access to housing and services for low income people with HIV/AIDS through the development of the HIV/AIDS Housing Navigator Pilot. People of color, including immigrants and refugees, may not know about or choose not to access resources through the centralized system due to cultural or other challenges. Throughout the year, we met with many immigrant and refugee serving organizations as well as those providing services to people of color with HIV/AIDS. Our conversations confirmed the need for the Navigator, and these organizations came together to develop the scope of work for the pilot. Madison Clinic at Harborview Hospital was selected to run the pilot, and it will launch in early 2015. Madison Clinic provides medical case management to more than 2500 people per year. Almost half are people of color, and about 10% of total clients are homeless.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

- 2013-2016 HOPWA Investment Plan
- 2014 Medical Case Manager Interview Project

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

This year's unmet housing needs data is based on information from waiting lists from Lifelong (King County) and Catholic Community Services (Shohomish County). Lifelong did some additional analysis of data from the National AIDS Housing Coalition, the CDC, and Seattle-King County Public Health. The figures shown represent both counties. Needs figures are estimates, and the waiting list numbers represent only people with HIV/AIDS who can navigate the system well enough to get to the housing referral programs, make it through intake and assessment, and get onto housing waiting lists.

Based on knowledge of people in their referral system, Lifelong also reported on housing needs in addition to those listed in the chart below. These include:

- Mixed income housing facilities which would support a move from HOPWA-assisted units to affordable housing units (with fixed below market rate rents) within the same development. This would support people to remain in their housing community while freeing up units for people who need the HOPWA assistance.
- There is need, too, for affordable units for people who are rent-burdened but do not require supportive services. Mixed income developments with below market rents could help to meet this need.
- Affordable family housing (2+ bedrooms) located in the City of Seattle would support family access to HIV and other healthcare. There tends to be more availability and affordability in rural and suburban areas of the county, but those locations make it challenging to access health care.

The 2014 Medical Case Manager Interview Project confirmed that more affordable housing options are needed in Seattle/King County and include different types of housing for many different needs:

- Independent
- Service enriched, at different levels
- Options for the "unhouseable"
- Options for those with some medical needs
- Housing placed close to HIV services
- More transitional housing options
- Options other than shelters

In Row 1, report the total unmet need of the geographical service area, as reported in Unmet Needs for Persons with HIV/AIDS, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	1,398
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2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	262
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	459
• Assistance with rental costs	307
• Assistance with mortgage payments	68
• Assistance with utility costs	84
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	677
• Permanent Supported Housing	352
• Permanent Independent Housing	325

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$170,750.00	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$52,00.00	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	\$593,779.00	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care	\$854,033.00	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: HUD Continuum of Care Program	\$141,918.00	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: HUD Section 811	\$165,615.00	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: FEMA	\$7,740.00	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: King County	\$75,000.00	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants	\$32,350.00	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources	\$8,260.00	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Contributions	\$143,544.00	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	\$17,733.00	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$721,500.00		
TOTAL (Sum of all Rows)	\$2,984,222.00		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0.00
2.	Resident Rent Payments made directly to HOPWA Program	0.00
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	0.00

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0.00
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0.00
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0.00

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households		[2] Output: Funding			
		HOPWA Assistance		Leveraged Households	HOPWA Funds		
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households		[2] Output: Funding			
1.	Tenant-Based Rental Assistance	27	27		\$227,616.27	\$216,011.51	
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	52	52		\$359,738.43	\$248,839.91	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	14	15		\$89,694.00	\$78,568.17	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	38	39		\$99,566.73	\$81,034.64	
5.	Permanent Housing Placement Services	39	49		\$35,809.21	\$33,948.70	
6.	Adjustments for duplication (subtract)	0	21				
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	170	161		\$812,424.64	\$658,386.93	
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units		[2] Output: Funding			
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	6	6		\$279,000.00	\$279,000.00	
9.	Stewardship Units subject to 3 or 10 year use agreements	10	10				
10.	Total Housing Developed (Sum of Rows 8 & 9)	16	16		\$279,000.00	\$279,000.00	
Supportive Services		[1] Output: Households		[2] Output: Funding			
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	373	416		\$275,463.36	\$260,050.74	
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services	118	134		\$278,530.00	\$264,647.52	
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	343	550		\$553,993.36	\$524,698.26	
Housing Information Services		[1] Output: Households		[2] Output: Funding			
14.	Housing Information Services	133	101		\$96,225.50	\$66,645.68	
15.	Total Housing Information Services	170	101		\$96,225.50	\$66,645.68	

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					\$77,162.00	\$49,920.92
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$51,194.00	\$56,766.66
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$100,246.00	\$83,246.25
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					\$228,602.00	\$189,933.83
Total Expended							
						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$1,970,246.00	\$1,718,664.70

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	550	\$396,403.16
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)	59	\$103,753.33
10.	Meals/nutritional services	59	\$24,541.77
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	668	
16.	Adjustment for Duplication (subtract)	118	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	550	\$524,698.26

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	39	\$81,034.64
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	1	\$1,608.08
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	1	\$4,879.72
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	28	\$39,928.82
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	7	\$17,911.05
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	2	\$593.61
g.	Direct program delivery costs (e.g., program operations staff time)		\$16,113.36

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program. Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column [1]. Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting	[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	27	20	1 Emergency Shelter/Streets	Unstable Arrangements
			2 Temporary Housing	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing 2	
			4 Other HOPWA	
			5 Other Subsidy 3	Stable/Permanent Housing (PH)
			6 Institution	
			7 Jail/Prison	Unstable Arrangements
			8 Disconnected/Unknown	
			9 Death 2	
Permanent Supportive Housing Facilities/ Units	52	42	1 Emergency Shelter/Streets 1	Unstable Arrangements
			2 Temporary Housing 1	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	
			4 Other HOPWA	Stable/Permanent Housing (PH)
			5 Other Subsidy 4	
			6 Institution	
			7 Jail/Prison 1	Unstable Arrangements
			8 Disconnected/Unknown 1	
			9 Death 2	

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting	[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	15	9	1 Emergency Shelter/Streets	Unstable Arrangements
			2 Temporary Housing	Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing	
			4 Other HOPWA	Stable/Permanent Housing (PH)
			5 Other Subsidy 6	
			6 Institution	
			7 Jail/Prison	Unstable Arrangements
			8 Disconnected/unknown	
			9 Death	

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months ²

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
39	Maintain Private Housing <u>without</u> subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	25	Stable/Permanent Housing (PH)
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)		
	Other HOPWA Housing Subsidy Assistance	1	
	Other Housing Subsidy (PH)	1	
	Institution (e.g. residential and long-term care)		
	Likely that additional STRMU is needed to maintain current housing arrangements	8	Temporarily Stable, with Reduced Risk of Homelessness
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	1	
	Temporary/Non-Permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)		
	Emergency Shelter/street		Unstable Arrangements
	Jail/Prison		
Disconnected	3		
Death		Life Event	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).		0	
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).		0	

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsor/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a.	Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing
b.	Case Management
c.	Adjustment for duplication (subtraction)
d.	Total Households Served by Project Sponsor/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)
2. For Project Sponsor/Subrecipients that did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a.	HOPWA Case Management
b.	Total Households Served by Project Sponsor/Subrecipients without Housing Subsidy Assistance

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsor/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsor/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	425	134	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	391	132	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	393	105	Access to Health Care
4. Accessed and maintained medical insurance/assistance	394	102	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	384	110	Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children's Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran's Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran's Disability Payment • Retirement Income from Social Security • Worker's Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsor/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsor/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	14	3

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) WAH14FW01	Operating Year for this report From 01/01/14 To 12/31/14 <input type="checkbox"/> Fiscal Yr: <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input checked="" type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10
Grantee Name City of Seattle, Human Services Department	Date Facility Began Operations (mm/dd/yy) 02/22/06

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Genesee Housing	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	\$20,037.36

3. Details of Project Site

Project Sites: Name of HOPWA funded project	Genesee Housing 4425 Martin Luther King Jr Way South, Seattle, WA
Site Information: Project Zip Code(s)	98108
Site Information: Congressional District(s)	WA-009
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information, do not list <input checked="" type="checkbox"/> Not confidential: Information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Bellwether Housing Administrative Office 1651 Bellevue Avenue, Seattle, WA 98122 206-623-9506; bellwether@bellwetherhousing.org

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate.	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Susan Schuman, Director of Property Management	 02/19/15
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)
Kira von Henkle, Planning & Development Specialist	206-415-1273

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) WAB14F001	Operating Year for this report: From 01/01/14 To 12/31/14 <input checked="" type="checkbox"/> Final Yr. <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Seattle, Human Services Department	Date Facility Began Operations (mm/dd/yy) 11/30/04

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Judkins Park Apartments	Number of Stewardship Units Developed with HOPWA Funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10-year use periods)	4	\$ 21,942.48

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Judkins Park Apartments
	1123, 1129, 1133 23rd Avenue South, Seattle, WA
Site Information: Project Zip Code(s)	98144
Site Information: Congressional District(s)	WA-009
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> No, confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location. If business address is different from facility address	Bellwether Housing Administrative Office 1651 Bellevue Avenue, Seattle, WA 98122 206-623-0506; bellwether@bellwetherhousing.org

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompanying herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Saran Selman, Director of Property Management	 02/19/15
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)
Kim van Henkle, Planning & Development Specialist	206-615-1573

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) WAH147801	Operating Year for this report From 01/01/14 To 12/31/14 <input type="checkbox"/> Final Yr: <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Seattle, Human Services Department	Date Facility Began Operations (mm/dd/yyyy) 02/23/08

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Rainier House	Number of Stewardship Units Developed with HOPWA Funds	Amount of Non-HOPWA Funds Expended in Support of Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10-year use periods)	2	535,169

3. Details of Project Site

Project Site: Name of HOPWA-funded project	Rainier House 5720 Rainier Avenue South, Seattle, WA
Site Information: Project Zip Code(s)	98118
Site Information: Congressional District(s)	WA-009
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Downtown Emergency Service Center Administrative Office 515 3rd Avenue, Seattle, WA 98104 206-464-1579; info@descc.org

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Tom Triebel, Housing Contracts Manager	Signature & Date (mm/dd/yyyy)  02/24/15
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Kim von Henke, Planning & Development Specialist	Contact Phone (with area code) 206-415-1573

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	161

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

	Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	Continuing to receive HOPWA support from the prior operating year	74
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	19
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	11
4.	Transitional housing for homeless persons	5
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	35
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	2
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	2
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	40
13.	House you own	2
14.	Staying or living in someone else's (family and friends) room, apartment, or house	5
15.	Hotel or motel paid for without emergency shelter voucher	1
16.	Other	
17.	Don't Know or Refused	
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	161

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	8

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	161
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	7
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	61
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	229

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	0	0	0	0	0
3.	31 to 50 years	72	18	1	0	91
4.	51 years and Older	55	6	0	0	61
5.	Subtotal (Sum of Rows 1-4)	137	24	1	0	162
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	16	25	0	0	41
7.	18 to 30 years	4	5	0	0	9
8.	31 to 50 years	0	5	0	0	5
9.	51 years and Older	0	3	0	0	3
10.	Subtotal (Sum of Rows 6-9)	20	38	0	0	58
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	157	62	1	0	220

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category	HOPWA Eligible Individuals		All Other Beneficiaries	
	[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1. American Indian/Alaskan Native	0	0	0	0
2. Asian	0	0	0	0
3. Black/African American	50	0	39	0
4. Native Hawaiian/Other Pacific Islander	1	0	0	0
5. White	80	16	26	13
6. American Indian/Alaskan Native & White	0	0	0	0
7. Asian & White	0	0	0	0
8. Black/African American & White	0	0	0	0
9. American Indian/Alaskan Native & Black/African American	0	0	0	0
10. Other Multi-Racial	24	22	7	0
11. Column Totals (Sum of Rows 1-10)	161	38	69	20

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	138
2.	31-50% of area median income (very low)	18
3.	51-80% of area median income (low)	5
4.	Total (Sum of Rows 1-3)	161

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

Catholic Community Services of Western Washington

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a. Purchase/lease of property:			Date (mm/dd/yy):
b. Rehabilitation/Construction Dates:			Date started: Date Completed:
c. Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d. Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e. Number of units in the facility:			HOPWA-funded units = Total Units =
f. Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g. What is the address of the facility (if different from business address)?			
h. Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient
Charts 3a, 3b, and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
 Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: CCS-PBRA Scattered Sites

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units		6	1			
d. Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a. Leasing Costs		
b. Operating Costs		
c. Project-Based Rental Assistance (PBRA) or other leased units	7	\$46,474.24
d. Other Activity (if approved in grant agreement) <u>Specify:</u>		
e. Adjustment to eliminate duplication (subtract)		
f. TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	7	\$46,474.24

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

Lifelong AIDS Alliance

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a. Purchase/lease of property:			Date (mm/dd/yy):
b. Rehabilitation/Construction Dates:			Date started: Date Completed:
c. Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d. Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e. Number of units in the facility:			HOPWA-funded units = Total Units =
f. Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g. What is the address of the facility (if different from business address)?			
h. Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient
Charts 3a, 3b, and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
 Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: LLAA-PBRA Scattered Sites

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units	20	25				
d. Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a. Leasing Costs		
b. Operating Costs		
c. Project-Based Rental Assistance (PBRA) or other leased units	45	\$202,349.67
d. Other Activity (if approved in grant agreement) <u>Specify:</u>		
e. Adjustment to eliminate duplication (subtract)		
f. TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	45	\$202,349.67

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

Lifelong AIDS Alliance

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a. Purchase/lease of property:			Date (mm/dd/yy):
b. Rehabilitation/Construction Dates:			Date started: Date Completed:
c. Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d. Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e. Number of units in the facility:			HOPWA-funded units = Total Units =
f. Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g. What is the address of the facility (if different from business address)?			
h. Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public		

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient
Charts 3a, 3b, and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
 Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: LLAA-PBRA Josephinum Apts

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units	8	3				
d. Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a. Leasing Costs		
b. Operating Costs		
c. Project-Based Rental Assistance (PBRA) or other leased units	15	\$78,568.17
d. Other Activity (if approved in grant agreement) <u>Specify:</u>		
e. Adjustment to eliminate duplication (subtract)		
f. TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	15	\$78,568.17