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6	BEFORE THE SEATTLE ETHICS AND ELECT	IONS COMMISSION
7		
8	In The Matter Of	Case No. 09-2-1028-1
9	Kenny Stuart, ) Treasurer for Working for )	HEARING NOTICE
10	Seattle,	112.11.11
11	Respondent )	
12		9 0 9 0 g
14	Pursuant to Seattle Municipal Code (SMC) sec 2.04.07	5. the Executive Director of the
15	Seattle Ethics and Elections Commission hereby gives NOTICE	
16	Elections Commission will hold a hearing on October 30, 2009.	
17	the Seattle Municipal Tower.	
18	The Commission will consider the Notice of Charges al	leging violations of SMC
19	2.04.160 and 2.04.265, which was filed by the Executive Direct	
20	This hearing is being expedited under SMC 2.04.075.B.	1, since the alleged conduct at
21	issue is related to the City of Seattle Mayoral election to be held	
22		
23	Dated this 29th day of October, 2009.	
24		
25	110 H	*
26	Wayne Barnett, Executive Director	
27	Seattle Ethics and Elections Commission	
28		
29		

#### BEFORE THE SEATTLE ETHICS AND ELECTIONS COMMISSION

In the Matter of	
Kenny Stuart, treasurer for Working for Seattle, )	Case No. 09-2-1028-1
Respondent, )	NOTICE OF CHARGES
To: Kenny Stuart To: The Seattle Ethics and Elections Commissio	) n )

Pursuant to Seattle Municipal Code ("SMC") §§ 2.04.060.I, 2.04.075, and 3.70.100.D, and Seattle Ethics and Elections Commission Administrative Rule 2.I, NOTICE IS HEREBY GIVEN that the Executive Director of the Seattle Ethics and Elections Commission ("Director") has reasonable cause to believe that Kenny Stuart, chairman and treasurer for Working for Seattle ("Respondent") has committed material violations of the Seattle Elections Code. The Executive Director hereby schedules a hearing at 2:00 p.m. October 30, 2007, in Seattle Municipal Tower Room 4050, and alleges as follows:

#### **Background Information**

- 1. Respondent is the President of Seattle Firefighters Union Local 27. Local 27's political action committee has made independent expenditures in the past in support of City candidates, including City Council candidates Dwight Pelz, Jan Drago in 2005, and Tim Burgess in 2007.
- In each of those cases, Local 27 worked with Laurus Associates LLC, and in each of those cases the independent expenditures were timely reported to the Seattle Ethics and Elections Commission ("SEEC").
- 3. Respondent is also the Chairman of Secure Seattle, which filed a C-1 with the SEEC on August 5, 2009. Mike Sheridan of Laurus Associates LLC is Secure Seattle's treasurer.

- 4. In September of this year, Bruce Boram of Laurus Associates LLC contacted Heather Clarke of Tumwater, Washington, about doing compliance work for Working for Seattle.
- 5. Mr. Boram asked Ms. Clarke whether she had ever done compliance work for a political action committee in the City of Seattle. Ms. Clarke responded that she had not, but that she was aware that state law required that a C-1 be filed with King County. Mr. Boram did not tell Ms. Clarke of the need to file with the SEEC.
- 6. On October 13, 2009, the State Public Disclosure Commission ("PDC") received a filing from Working for Seattle. Exhibit A. Working for Seattle registered as a continuing political committee, with respondent as its treasurer and Ms. Clarke listed as a person who performs ministerial functions on the committee's behalf. No filing was made with the SEEC.
- 7. On October 16, 2009, Working for Seattle filed three C-3's with the PDC, reporting deposits totaling \$102,500 and a C-4. Exhibits B, C, D and E. No filings were made with the SEEC.
- On October 19, 2009 Working for Seattle filed another C-3 with the PDC, reporting a
   \$5,000 deposit. Exhibit F. No filing was made with the SEEC.
- 9. On Monday, October 26, 2009, after receiving an e-mail from Mr. Boram, Ms. Clarke e-mailed the SEEC to inquire about how to file reports with the SEEC. Also on Monday, October 26, 2009, *The Seattle Times* posted a story on-line about the existence of Working for Seattle. Exhibit G.
- 10. On Tuesday, October 27, 2009, Ms. Clarke filed all of the outstanding reports with the SEEC.

#### Count 1

1. The Director has reasonable cause to believe that Respondent violated SMC 2.04.160

when he, as the only named officer of the committee, failed to timely file with the City Clerk a statement of organization.

#### Count 2

2. The Director has reasonable cause to believe that Respondent violated SMC 2.04.265 on four occasions when he, as the committee's treasurer, failed to timely report to the SEEC late contributions in excess of \$1,000.

Dated: October 29, 2009.

Wayne Barnett, Executive Director Seattle Ethics and Elections Commission

apy Canut



711 CAPITOL WAY AND 200 Political Committee

	PO BOX 40055 CLYMPIA WA 50004-0005 (340) 763-1111 Tell Proc 1-077-001-2020	Registration		(1/2008)	OCT 132	
	ow entire official name.)		***	Acronym:	L	
Working for Sea	attle					
				Telephone: (206)	524-4170	
Mailing Address 1037 NE 65 <sup>th</sup> St	#264	2				
1037 NE 05 51	10et, #201			Fax: (206)	350-7154	
City		County	Zip + 4			
Seattle		WA	98115	E-mail:		
NEW OR AMENDED	REGISTRATION?	COMMITTEE STATUS				
NEW. Complete  AMENDS previou	entire form. us report. Complete entire form		ng; not established in anti- year only. Date of genera		campaign election.)	
1. What is the purpose	or description of the committee			- E - O		
	al Party Committee - official s e candidates you support.	tate or county central committee or legis	lative district committee.	If you are not supporting	the entire party ticket, attack	n a list
Ballot Committee	- Initiative, Bond, Levy, Recall	, etc. Name or description of ballot mea	sure:		Ballot Number FOR	AGAINST
Other Political Co	emmittee - PAC, caucus comm	nittae, political club, etc. If committee is	related or affiliated with a	business, association, u	nion or similar entity, specify	1
For single election-ye	ear only committees (not con	tinuing committees): is the committee	supporting or opposing	colliscal marky collistics		
(a) one or more candid		If yes, attach a list of each candidate's r  No If yes, identify the party:	terne, omce sought and p	onuce party emiliation.		
(b) the entire ticket of a	committees. List name, addre					
2. Position of animation	Commuses. List name, eour	SS BIN I GIBLONIS IP			Continued on attact	ned sheet
MINI REPO Mini Report than \$500 I	ORTING ting is selected. No more than in the aggregate will be accept 's or Media Contact's Name ar		ore Full Report mandated	PORTING rting is selected. The free by law will be filed as rec	quent, detailed campaign rep	
5. Treasurer's Name a	nd Address. Does treasurer p s. List deputy treasurers on att	erform only ministerial functions? Yes _ached sheet.	No See WAC 3	90-05-243 and Dayl	ime Telephone Number:	
Kenny Stuar		1037 NE 65 <sup>th</sup> Street, #261, 5		(20	6 ) 524-4170	
6. Persons who perform See WAC 390-05-2 Heather Cla	m only ministerial functions on 43 and need page for details. arke/The Clarke Comp and other persons who author	pany 3400 Capitol Blvd. SE, ze expenditures or make decisions for of 1037 NE 65 <sup>th</sup> Street, #261	of candidates or other policy Suite 202, Tumw committee. List name, title	ater, WA 98501	360-628-8129	
			Perseh			
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Campaign books mu In the space below, box or an out-of-are.	ust be open to the public by ap provide contact information for a address.	pointment between 8 a.m. and 8 p.m. do scheduling an appointment and the add	uring the eight days befor tress where the inspection	e the election, except San will take place. It is not	turdays, Sundays, and legal	holidays. st office
		ly where campaign books will be avail	lable for inspection			
3400 Capitol Bh	vd. SE, Suite 202, Tu	mwater, WA 98501	\A28_8120			193
In order to make an	appointment, contact the cam to State Office Candidates	paign at (telephone, fax, e-mail): (360 During the 180 days prior to making a	11. Signature and	d Certification. I certify	that this statement is true, co	omplete
contribution to a st		mittee must have received contributions	of and correct to the	best of my knowledge.		
A check here in Absence of a control of a co	indicates your awareness of ar	nd pledge to comply with this provision.  Ittee does not qualify to give to state offi		Treasurer's Signature	Date	/. /





C3

THIS SPACE FOR OFFICE USE

100329671

10-16-2009

	Committee Name (Do not abbreviate. Use full name for Seatte	e.)				
Mailing Addr						
1037 65t	th Street, #261					
City	Zip + 4		Office Sought (cand	lidates)	Election I	Date
Seattle,	WA 98115				2009	
1. MONETAI	RY CONTRIBUTIONS DEPOSITED IN ACCOUNT					
Date Received			10		Amount	Total
	a. Anonymous					
	b. Candidate's personal funds deposited in the ba	nk (include d	candidate loans in 1c)			
	c. Loans, notes, security agreements. Attach Sch	edule L				
	d. Miscellaneous receipts (interest, refunds, auctio	ons, other).	Attach explanation			
O CONTRIB	e. Small contributions \$25.00 or less not itemized UTIONS OVER \$25.00	and number	of persons giving(	persons)		
Date Received	Contributor's Name, Address, City, State, Zip		tions of more than \$100:* er's Name, City and State	P G R E I N	Amount	Aggregate* Total
07/09	PACIFIC NORTHWEST REGIONAL					
	25120 Pacific Hwy S, Suite 200 Kent, WA 98032				5,000.00	5,000.00
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		Occupation				
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	Check here if additional pages are attached	₩.		ount from ed pages	0.00	*See reverse
3. TOTAL FU Sum of part	JNDS RECEIVED AND DEPOSITED OR CREDITED IS 1 and 2 above. Enter this amount in line 1, Sched	TO ACCOU	UNT		5,000.00	for details.
4. Date of De		S. S	. I certify that this report is	true and o	complete to the best of	
10/0	7/09		Treasurer's Signature			Date
Treasurer's I	Daytime Telephone No.: (206) 524-4170		Kenny Stuart			10-16-2009





THIS SPACE FOR OFFICE USE

100329672

10-16-2009

Candidate or Committee Name (Do not all Working for Seatte				
Mailing Address				
1037 65th Street, #261				
City	Zip + 4	Office Sought (candidates)	Election Date	
Seattle, WA	98115		2009	

1. MONETARY CONTRIBUTIONS DEPOSITED IN ACCOUNT Amount Total Date Received b. Candidate's personal funds deposited in the bank (include candidate loans in 1c)..... c. Loans, notes, security agreements. Attach Schedule L..... d. Miscellaneous receipts (interest, refunds, auctions, other). Attach explanation ...... e. Small contributions \$25.00 or less not itemized and number of persons giving (persons) 2. CONTRIBUTIONS OVER \$25.00 G Contributions of more than \$100:\* Aggregate\* Amount R E Date Employer's Name, City and State Total Contributor's Name, Address, City, State, Zip Received 10/08/09 WASHINGTON STATE COUNCIL OF 1069 Adams Street Southeast 5,000.00 5,000.00 Olympia, WA 98501 Occupation INTERNATIONAL FEDERATION OF 10/08/09 2900 Eastlake Avenue East, 2,500.00 2,500.00 Seattle, WA 98102 Occupation 10/08/09 WASHINGTON ASSOCIATION OF 504 14th Ave. SE 5,000.00 5,000.00 Olympia, WA 98507-0719 Occupation 10/08/09 SFFU, LOCAL 27 VPAC 60,000.00 517 Second Avenue West 60,000.00 Seattle, WA 98119 Occupation Occupation 72,500.00 Sub-total ☐ Check here if additional Amount from 0.00 pages are attached \*See reverse attached pages for details. 3. TOTAL FUNDS RECEIVED AND DEPOSITED OR CREDITED TO ACCOUNT 72,500.00 Sum of parts 1 and 2 above. Enter this amount in line 1, Schedule A to C4. I certify that this report is true and complete to the best of my knowledge 4. Date of Deposit Treasurer's Signature 10/08/09 10-16-2009 Kenny Stuart

Treasurer's Daytime Telephone No.: (206) 524-4170





**C3** 

THIS SPACE FOR OFFICE USE

100329673

10-16-2009

Candidate	or Committee Name (Do not abbreviate. Use full name	e.)	16		72. ye			
Workin	g for Seatte							
Mailing Ad							<b>—</b>	
1037 6	5th Street, #261				الملكم			
City	Zip + 4		Office Sough	ht (candi	dates	5)	Election Dat	е
Seattl	ACTION OF THE PROPERTY OF THE						2009	
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Date Received							Amount	Total
	a. Anonymous							
	b. Candidate's personal funds deposited in the ba	nk (include d	candidate loans in 1c	)				
	c. Loans, notes, security agreements. Attach Sch	edule L			·····			
	d. Miscellaneous receipts (interest, refunds, auction	ons, other).	Attach explanation					
2. CONTR	e. Small contributions \$25.00 or less not itemized IBUTIONS OVER \$25.00	and number	of persons giving	(p	ersor	ns)		
Date Received	Contributor's Name, Address, City, State, Zip		tions of more than \$ er's Name, City and		P R I	G E N	Amount	Aggregate* Total
0/12/09	AFFORDABLE HOUSING COUNCIL 335 116th Avenue SE Bellevue, WA 98004	-	a 2				10,000.00	10,000.00
27 17		Occupation						
0/12/09	BUILDERS UNITED IN LEGISLATIVE 1200 Westlake Avenue N., Suite Seattle, WA 98109	19			2		5,000.00	5,000.00
		Occupation	i					
0/12/09	PACIFIC NORTHWEST REGIONAL 25120 Pacific Hwy S, Suite 200 Kent, WA 98032						5,000.00	10,000.00
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31	2 A T							
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		Occupation	1					
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	FUNDS RECEIVED AND DEPOSITED OR CREDITED arts 1 and 2 above. Enter this amount in line 1, Sched		UNT				20,000.00	for details.
4. Date of			I certify that this r	eport is t	rue a	nd com	plete to the best of my	y knowledge
10/	/13/09		Treasurer's Sign	ature				Date
	s Daytime Telephone No.: (206) 524-4170	(£)	Kenny Stuar	rt	• !		1	0-16-2009
	· · · · · · · · · · · · · · · · · · ·							

EX E(1/2)

PUBLIC DISCLOSURE COMMISSION
711 CAPITOL WAY RM 206
PO BOX 40908
OLYMPIA WA 98504-0908
(360) 753-1111

## SUMMARY, FULL REPORT RECEIPTS AND EXPENDITURES

**C4**(3/97)

PDC OFFICE USE 100329674

			1-0//-001-2828	XPENDIT	JRES		(3/97)		
			o not abbreviate. Incl	ude full name)	12			1	0-16-2009
	ng for Address	Seatte							
		treet, #26	1			City Seattle, WA			
Zip + 4 8115			Office Sought (Can	didates)	Election Date	*For PACs, Partie	es & Cau	icus Com	mittees: During
Report Covere		From (last C	7. 67. L. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	end of period)	Final Report?	this report period, did expenditure (i.e., ar supporting or opposi	expense	not conside	ered a contribution)
DEOE	DT0	09/01/0	)9 10	0/12/09	Yes No X	]	ng a state	or local ca	ndidate:
RECEI	PIS					*See next page		Yes	No
1. F	Previous to if beginning	tal cash and in k g a new campaig	ind contributions (From	m line 8, last C-4) ee instruction bookl	let)			\$	0.00
						····· \$ 77,50	_	Ψ	0.00
							0.00		¥
							0.00		
4. T	Total cash a	and in kind contri	ibutions received this	period (Line 2 plus	3)				77,500.00
5. L	_oan princi	pal repayments r	nade (From line 2, Sc	hedule L)			0.00		
6. C	Corrections	(From line 1 or	3, Schedule C)		Show + or	(-)	0.00		
						Show	+ or (-)		0.00
8. T	Total cash a	and in kind contri	ibutions during campa	ign (Combine lines	1, 4 & 7)				77,500,00
			(From line 2, Schedule		0.00				
	IDITURES				0.001				
10. F	Previous to	tal cash and in ki g a new campaig	ind expenditures (From	n line 17, last C-4) ee instruction bookl	let)				0.00
							77		
								*	
13. T	Total cash a	and in kind expe	nditures made this per	riod (Line 11 plus li	ne 12)				0.00
14. L	oan princip	oal repayments r	nade (From line 2, Sc	nedule L)			0.00		
15 (	Corrections	(From line 2 or 1	3, Schedule C)		Show . or	()			
							0.00		
16. N	Net adjustm	nents this period	(Combine lines 14 & 1	5)		Show	+ or (-)		0.00
17. T	otal cash a	and in kind exper	nditures during campa	ign (Combine lines	10, 13 and 16)				0.00
CANDI	DATES ON	NLY Won Lost	Name not Unopposed on ballot	CASH SUMMAR		17)			77,500.00
Primary	election					ance(s) plus your petty cash be			77,500.00
General				19. Liabilities: (S	Sum of loans and del	bts owed)			0.00
Treasu	rer's Dayt	ime Telephone	No.:	20 Balanco (Su	rolue or deficit\ (Line	19 minue line 10)			
(206	5)524-4	170	-	Zu. Dalance (Sul	plus of delicit) (Line	18 minus line 19)			77,500.00
	FICATION: ate's Signa					is true and correct to the b	est of my l	knowledge.	Data
Januiu	ales Signa	ilui 8	Date		Treasurer's Signatur	е			Date
				. 1	Kenny Stuart				



## CASH RECEIPTS AND EXPENDITURE

				10 04	(11/93)	30 1701	2
Candidate or Committee Name (Do not abbreviate. Use full name.)						Re	port Date
Working for Se					09	/01/09	10/12/09
<ol> <li>CASH RECEIPTS</li> </ol>	(Contributions) which	ch have been reported on C3.	List each dep	oosit made since last	C4 report was	s submitted.	
Date of deposit	Amount	Date of deposit	Amount	Date of deposit	4	Amount	Total deposits
10/07/2009	5,000.00			V,	187	160 0	ggest on a
10/08/2009	72,500.00		2	9		1	
2. TOTAL CASH REC	CEIPTS			Ente	r also on line	2 of C4 \$	77.500.0
				E NA			

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- When reporting payments to vendors for travel expenses, identify the traveler and travel purpose in the Description block; and 3)
  - If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE **DEFINITIONS** ON NEXT PAGE

- C Contributions (monetary, in-kind & transfers)
- I Independent Expenditures
- L Literature, Brochures, Printing
- B Broadcast Advertising (Radio, TV)
- N Newspaper and Periodical Advertising O - Other Advertising (yard signs, buttons, etc.)
  V - Voter Signature Gathering

- P Postage, Mailing Permits
- S Surveys and Polls
- F Fundraising Event Expenses
- T Travel, Accommodations, Meals
- M Management/Consulting Services
- W Wages, Salaries, Benefits

Enter also on line 11 of C4 \$

0.00

G - General Operation and Overhead

#### 3. EXPENDITURES

- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below...
- Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description			Amount	
N/A	Expenses of \$50 or less	N/A		N/A			
-							
,				1 (1)			
					18		
				48 <sub>0</sub>	5 .	×	39
	# F		1	3			EI EI
. TOTAL CASH	EXPENDITURES				attached pages		0.0





THIS SPACE FOR OFFICE USE

100329983

10-19-2009

Candidate or Committee Name (Do r	ot abbreviate. Use full name.)	(1102)	
Working for Seatte			
Mailing Address			
1037 65th Street, #261			
City	Zip + 4	Office Sought (candidates)	Election Date
Seattle, WA	98115	5	2009
1 MONETARY CONTRIBUTIONS D	EPOSITED IN ACCOUNT		

	dross					
Mailing Ad						
City	5th Street, #261		Office Cought (	adidatas)	Election Dat	
	Zip + 4		Office Sought (ca	naidates)		е
Seattle	e, WA 98115 ARY CONTRIBUTIONS DEPOSITED IN ACCOUNT				2009	
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Received				т		
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	c. Loans, notes, security agreements. Attach So	nedule L				
	d. Miscellaneous receipts (interest, refunds, auci	ions, other).	Attach explanation			
	e. Small contributions \$25.00 or less not itemize	d and number	of persons giving	(persons)		
2. CONTR	IBUTIONS OVER \$25.00		, , , , , , , , , , , , , , , , , , , ,			3
Date			tions of more than \$100:		Amount	Aggregate*
Received	Contributor's Name, Address, City, State, Zip	Employe	er's Name, City and State	IN		Total
0/19/09	BRUCE MCCAW	Pistol	Creek Financial	Company		
	1 100th Avenue NE, Suite 100 Bellevue, WA 98004	Dellerm		76	5,000.00	5,000.00
	Bellevue, WA 98004	Bellevu				
		Occupation	OWNER			
						14
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	☐ Check here if additional			Sub-total mount from	5,000.00	
	pages are attached			ched pages	0.00	*See reverse
3. TOTAL	FUNDS RECEIVED AND DEPOSITED OR CREDITE	D TO ACCO	UNT		5,000.00	for details.
Sum of p 4. Date of	arts 1 and 2 above. Enter this amount in line 1, Sche	dule A to C4.	I certify that this report	is true and com		/ knowledge
	Control Contro		Treasurer's Signature			Date
10,	/19/09		Kenny Stuart		1	0-19-2009
Treasurer'	s Daytime Telephone No.: (206) 524-4170		y beaute		0	



## The Seattle Times

Tuesday, October 27, 2009 - Page updated at 11:25 AM

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# McCaw, firefighters, builders, unions join together for Mallahan

#### **Bob Young**

An interesting mix of donors — firefighters, builders and Bruce McCaw — has come together in a new independent expenditure committee to support Seattle mayoral candidate Joe Mallahan.

Called Working for Seattle, the group has collected \$102,500, according to the state Public Disclosure Commission. The biggest contributor (\$60,000) is the Seattle Firefighters Union Local 27.

The second biggest contributor is the Master Builders' Association of King and Snohomish Counties (\$10,000 through its Affordable Housing Council PAC). Other donors -- in for \$5,000 each -- include big GOP contributor Bruce McCaw of Bellevue, the carpenters' union; the state firefighters' union, the Washington Association of Realtors, and Builders United in Legislative Development.

You'd be hard-pressed to find another election committee that unites public employee unions, McCaw and builders' groups.

Kenny Stuart, Local 27 president, is spokesman for Working for Seattle. He said the group's sole purpose is to support Mallahan. Stuart said Seattle firefighters believe Mallahan is better than his opponent Mike McGinn on public safety issues and are trying to exert political leadership and are willing to partner with anyone in their pro-Mallahan effort.

"The bottom line is that a friend of Joe's is a friend of ours," Stuart said. "We asked anybody and everybody to contribute."

He said people and groups from all over the Puget Sound region have an interest in Seattle because of issues like the 520 bridge and Alaskan Way Viaduct.

The group has produced one mailer so far, Stuart said, and plans to spend all its money in the next eight days.

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