

# Sweetened Beverage Tax Prenatal-to-Three Community Grant Request for Proposal

### **INTRODUCTION**

The Department of Education and Early Learning (DEEL) is requesting proposals from community-based organizations (CBOs) interested in providing services to improve health and educational outcomes for individuals in the prenatal-to-three period through the City of Seattle **Sweetened Beverage Tax (SBT)**.

The Prenatal to Three (PN-3) Community Grant investment strategy aims to support community-informed programs that reduce outcome disparities for pregnant individuals, infants, toddlers, and their families that disproportionately affect marginalized communities. Addressing these disparities will position families to best support the healthy growth and development of their infant and toddler-aged children, thereby improving kindergarten readiness. This funding is not intended to be used for services already funded by other public dollars.

Approximately \$1.4 million dollars is available for this investment for 2023-2025. Eligible entities may submit one proposal requesting a maximum of \$140,000 per year for a total of \$280,000 over two years. Funding will be awarded through this Request for Proposal (RFP) process managed by the City of Seattle Department of Education and Early Learning (DEEL). This PN-3 Community Grant RFP seeks proposals for four focus areas:

- 1. Healthy and Equitable Births
- 2. Parental Health and Emotional Wellbeing
- 3. Nurturing and Responsive Caregiving
- 4. Optimal Child Health and Development

DEEL is prioritizing funding for community-based organizations (CBOs) with missions to serve expecting parents, caregivers, or guardians of children aged birth to three within the City of Seattle with a particular interest in reaching priority populations, defined as communities of color, immigrants, refugees, people with low income, and English language learners. Successful proposals will receive two years of funding, conditioned upon entering into a binding contract that sets out the use of funds, outcomes, and standard City terms. To be considered for funding, eligible proposals must be submitted by 3:00 PM on June 14, 2023.

RFP materials and updates are available on DEEL's <u>Funding Opportunities webpage</u>. DEEL will not provide individual notice of changes, and proposers are responsible for regularly checking the webpage for technical assistance information as well as any updates, clarifications, or amendments.

Event	Date/Time
Request for Proposal (RFP) opens	05/03/2023
RFP information session (prerecorded)	05/03/2023
One-on-One Technical Assistance period	05/03/2023 through 06/07/2023, by appointment
Question and answer period	05/03/2023 through 06/07/2023, by 3:00 PM
Proposals due	06/14/2023 by 3:00 PM

<sup>\*</sup>Dates and times are subject to change



## **INVESTMENT GOALS AND OVERVIEW**

The Prenatal-to-Three (PN-3) Community Grant program is funded by revenue generated from the City Sweetened Beverage Tax (SBT) and allocated through recommendations made by the SBT Community Advisory Board. This grant has the following core goals:

- 1. Identify and fund projects that **advance racial equity** across core areas in support of the developmental continuum starting at pregnancy and ending at three years of age, including but not limited to prenatal services, healthcare, and caregiver supports.
- 2. Identify and fund proposals designed in partnership with priority populations; and
- 3. Provide a low-barrier opportunity for community-based organizations in the City of Seattle to apply for a range of grant project types and funding amounts.

The outcome of this investment is to support increased kindergarten readiness and reduce the disparities in outcomes for young children and families based on race, gender, or other socioeconomic factors. The program intends to accomplish this by supporting community-informed proposals that address obstacles contributing to disparities.

## Eligibility

To be eligible for funding through this RFP, proposers must be one of the following:

- Not-for-profit organization (or fiscally sponsored by an organization) with 501(c)3 status (including community-based or faith-based organizations)
- Community organization or collaboration that are not incorporated as a non-profit, such as community collaboratives, coalitions and/or other affinity groups, who can identify a fiscal sponsor that meets the requisite contracting requirements of the Department
- Tribes and tribal organizations

In addition, proposals must provide services to participants that reside within the City of Seattle limits.

### Projects that are not eligible for this funding opportunity include proposals that:

- Provide quality supports or subsidies for licensed child care
- Solely focus on supporting general operations of an organization
- Are without a central focus on prenatal-to-age-three
- Focused on political lobbying

## **Technical Assistance**

DEEL is providing the opportunity for all proposers to receive direct technical assistance (TA) in developing their proposals from experienced community-based consultants, at no cost to them. Those interested in utilizing TA can reach out directly to any of the providers for assistance. The main purpose of this opportunity is to increase capacity for small organizations that may not have the resources to apply for government funding.

Technical assistance consultants can:

- 1. Assist in determining appropriate fit between your proposal and this funding opportunity.
- 2. Provide guidance on how best to answer questions.
- 3. Support your proposal development, including editing.



4. Support you in explaining your proposal in the most clear and concise way. However, technical assistance consultants are not grant writers.

All technical assistance information, materials, and a list of consultants, is available on <u>DEEL's Funding</u> <u>Opportunity webpage</u>.

#### **Funding**

Contract Duration: December 1, 2023, to November 30, 2025

Available Funding: \$140,000 per year for a maximum of \$280,000 over 2 years per award

DEEL will negotiate contracts with proposers inclusive of outcome reporting co-created with DEEL's Performance & Evaluation Team to assess program impact and participate in DEEL site visits to ensure quality implementation of investments.

### **INVESTMENT FRAMEWORK**

## **Priority Populations**

Proposals should include an emphasis on priority populations, defined as communities of color, immigrants, refugees, people with low income, and English language learners.

#### **Focus Areas and Outcomes**

SBT Prenatal to Three investments are guided by an outcomes-based approach that empowers providers to develop their own unique, innovative solutions and design context-specific programming or services to improve outcomes. Proposers will develop proposals specifying the outcomes and indicators they intend to impact, and the strategies to do so.

Proposals must show clear alignment between needs, communities to be served, and the outcome to be impacted. These investments are intended to improve outcomes for pregnant individuals, infants, and toddlers, supporting DEEL's goal that all Seattle children are ready for kindergarten. Proposers must choose only one strategy:

### **Strategy 1: Healthy and Equitable Births**

Children are born healthy to healthy parents, and pregnancy experiences and birth outcomes during Prenatal and Postnatal time periods are equitable.

## **Focus Area 1: Perinatal Health**

Programs and services in this focus area seek to improve outcomes in the prenatal period may include birthing doulas, group prenatal care, midwifery, birthing classes, and peer parent support. Examples that support the Postnatal period (6-8 weeks post birth) include supporting parents' knowledge around caring for their newborn after birth, infant feeding and breast care, family planning education and advocacy, and preventing and treating childbirth-related complications.

## **Strategy 2: Parental Health and Emotional Wellbeing**

Parents are mentally and physically healthy, with particular attention paid to the perinatal period.



### **Focus Area 1: Perinatal Parental Emotional Wellness**

Parents' mental health affects their ability to care for themselves and their children. Examples of programs or services that affect this focus area include, but are not limited to, screening and referral for postpartum depression, preventative support for good mental health, pregnancy support groups, postpartum support groups, emotional support, and care coordination.

### PHEW Focus Area 2: Perinatal Parental Health

Parents' physical health affect their ability to care for themselves and their children. Examples of programs or services that affect this focus area include, but are not limited to, perinatal telehealth services, community liaisons who support parents with system navigation to increase access to medical care and assisting parents in accessing health coverage through programs like Medicaid.

## **Strategy 3: Nurturing and Responsive Caregiving**

Children experience warm, nurturing, stimulating interactions with their parent and caregivers that promote healthy development.

#### **Focus Area 1: Parents**

Engaging in nurturing and responsive caregiving during the earliest months and years of children's lives supports their optimal growth and development. Examples of programs or services that affect this focus area include, but are not limited to, home visiting, parent education, positive guidance coaching, new parent support groups, and family literacy programs.

## **Focus Area 2: Non-Parental Caregivers**

Nurturing and responsive interactions that occur between infants and toddlers and non-parental caregivers, adults who frequently provide care also have the potential to greatly affect children's growth and development. Examples of programs or services that affect this focus area include, but are not limited to, groups aimed at supporting the positive guidance techniques of extended family, and professional learning for license-exempt child care providers.

## **Strategy 4: Optimal Child Health and Development**

Children's emotional, physical, and cognitive development is on track, and delays are identified and addressed early.

#### Focus Area 1: Child Emotional Health

This focus area relates to the emotional health of infants and toddlers. Examples of programs or services that affect this focus area include, but are not limited to, providing Infant-Early Childhood Mental Health services, those in support of increasing accessibility of pertinent Protective Factors (social and emotional competence of children), positive behavior guidance training and education specifically for parents and caregivers of infants and toddlers.

## Focus Area 2: Child Physical Health

This focus area relates to the physical health of infants and toddlers. Examples of programs or services that affect this focus area include, but are not limited to, those in support of increasing accessibility of pertinent Protective Factors (parental resilience, social connections, concrete



support in times of need), those that aim to prevent child maltreatment (child abuse and/or child maltreatment), and efforts to increase participation in immunization programs.

## Focus Area 3: Child Development

This focus area relates to developmental screening, evaluation, and support for infants and toddlers. Examples of programs or services that affect this focus area include, but are not limited to, those in support of increasing accessibility of pertinent Protective Factors (knowledge of parenting and child development), marketing and outreach to local pediatric health care providers in support of universal screening, creation of Act Early Ambassadors cohort, and operation of play and learn groups.

## **PROCESS AND CRITERIA FOR EVALUATION**

#### **PROCESS**

Each eligible grant proposal will be reviewed by a funding panel that will include members of the community, members from the Sweetened Beverage Tax Community Advisory Board and City staff. Proposals will be assessed through the lens of the priority criteria outlined in the RFP. The review panel will consider the proposals received and seek to fund a diverse range of project concepts and communities of focus. Proposals will be evaluated according to the following three-part process:

#### **PART I: TECHNICAL COMPLIANCE REVIEW**

Compliant	Technical Compliance Checklist
Yes No	Submitted on or before 3:00 PM Wednesday, June 14, 2023 to <a href="mailto:DEELFunding@seattle.gov">DEELFunding@seattle.gov</a> .
Yes No	Completed RFP application
Yes No	The RFP application includes the following completed sections:
Yes No	Completed budget template

# PART II: PROPOSAL REVIEW

Section	Criteria	Score
Connection to	<ul> <li>Organizational history and mission serve one or more priority</li> </ul>	30
Community	populations, defined as communities of color, immigrants, refugees,	
	people with low income, and English language learners.	
	<ul> <li>Clearly identifies the community need to be addressed and how the</li> </ul>	
	proposed program or service will fulfill that need.	

	<ul> <li>Proposed program or service meets a need in the community not currently addressed by other initiatives.</li> </ul>	
Program Proposal	<ul> <li>Proposal outlines and supports how the project is likely to exert a sustained, powerful, and positive impact on one or more of the grant's desired outcomes.</li> <li>Project describes an approach to supporting and engaging families and community that is responsive to cultural and linguistic needs.</li> <li>Identifies the need and gaps proposed program is addressing for priority populations.</li> <li>Identifies the outcomes to be addressed and proposes activities that will be effective in meeting outcome targets.</li> <li>Details activities and structure that are age-appropriate and that promote students' academic growth, college/career readiness, and cultivation of other skills that support learning and social-emotional growth.</li> </ul>	40
Organizational Information	<ul> <li>The authoring organization:</li> <li>Is small with less than 20 staff.</li> <li>has an annual budget less than \$500k.</li> <li>employs staff that reflect the priority populations they serve.</li> <li>has an executive staff and board (if applicable) that reflect the communities and populations they serve.</li> <li>describes how they have established authentic connections to the community in which this proposal intends to serve.</li> </ul>	30
	TOTAL	100

### PART III: EVALUATION PROCESS AND CONTRACT NEGOTIATION

The review panel will score and rank proposals from highest to lowest and make initial funding recommendations. Final funding decisions may also consider geographic considerations, diversity of focus areas and programming activities, and other department priorities. DEEL will notify each organization of the decision and will authorize award of agreements.

If a proposal is selected for funding, the authoring organization should be prepared to collaborate with DEEL to finalize a workplan based on their submitted proposal, which will be incorporated into the final contract. These aspects may include, but are not limited to, the amount of funding, proposed programming and/or services, focus student participation, and performance measures.

DEEL reserves all rights not expressly stated in the RFP, including the right to reject all proposals, award partial funding, and negotiate with any proposers regarding the amount of funding and other terms of any contract resulting from this RFP. If DEEL and any funding candidate selected under this RFP are unable to come to agreement on a final contract, DEEL may, in its discretion, choose not to provide funding.

## **APPEALS**

The Seattle Department of Education and Early Learning (DEEL) will notify organizations in writing of the outcome of its proposal. Written notification will be sent via email to the email address submitted on



the Cover Sheet. Any organization wishing to appeal the decision must do so in writing within four (4) business days of the email notification of DEEL's decision. Disagreeing with the outcome is not a valid reason to appeal the decision and will not be considered. An appeal must clearly state a rationale based on one (or more) of the following criteria only:

- 1. Violation of policies or guidelines established in the RFP process.
- 2. Failure to adhere to published criteria and/or procedures in carrying out the RFP process.

Failure to appeal within the time required waives the right to appeal. Appeals must be sent by email to <a href="mailto:DEELFunding@seattle.gov">DEELFunding@seattle.gov</a> with the subject line, "PN-3 Community Grant RFP Appeal."

The DEEL Director (or designee) will review the written appeal and may request additional information from the organization. The DEEL Director (or designee) will provide a written decision and this decision is final.

## **ADDITIONAL REQUIREMENTS**

Organizations selected for funding will be required to submit the following documents to DEEL:

- Business license numbers. The contracting organization must meet all licensing requirements
  that apply to its organization. The contracting organization must license, report, and pay
  revenue taxes for the Washington State Business License (UBI#) and Seattle Business License, if
  they are required by the laws of those jurisdictions. For more information:
  http://www.seattle.gov/licenses/get-a-business-license
- Recently signed W-9 form. The contracting organization must have a Federal Tax ID number/employer identification number (EIN) to facilitate payments from the City of Seattle to the provider.
- An ACORD certificate of insurance and Additional Insured Endorsement showing the City of Seattle as an additional insured.
- Maintain the following insurance coverage, at a minimum:
  - a. Commercial General Liability (CGL) or equivalent insurance including coverage for: Premises/Operations, Products/Completed Operations, Personal/Advertising Injury, Contractual and Stop Gap/Employers Liability (coverage may be provided under a separate policy). Minimum limit of liability shall be:
    - i. \$1,000,000 each occurrence Combined Single Limit bodily injury and property damage ("CSL")
    - ii. \$2,000,000 Products/Completed Operations Aggregate
    - iii. \$2,000,000 General Aggregate
    - iv. \$1,000,000 each accident/disease—policy limit/disease—each employee stop gap/Employer's Liability
  - Automobile Liability insurance for owned, non-owned, leased or hired vehicles, as applicable, written on a form CA 00 01 or equivalent with minimum limits of liability of \$1,000,000 CSL.
  - c. Worker's Compensation insurance for Washington State as required by Title 51 RCW.
- Maintain financial and program records, documents, and other evidence directly related to
  performance of the work in accordance with Generally Acceptable Accounting Procedures. The
  City of Seattle, or any of its duly authorized representatives, shall have access to such books,
  records and documents for inspection, audit, and copying for a period of six (6) years after
  completion of work.