EXECUTIVE DIRECTOR'S RULE 1 – MINI CAMPAIGN REPORTING

(A) Eligibility for Mini Campaign Reporting. The following are eligible for an exemption from the requirements of SMC 2.04.170(A)(2) and SMC 2.04.250 through SMC 2.04.275, except as otherwise provided for in this rule:

(1) Candidates who reasonably expect that neither their aggregate contributions nor their aggregate expenditures will exceed $5,000 plus the amount of the candidate's filing fee provided by law, and that no contribution or contributions from any source other than the candidate's personal resources within such aggregate will exceed $500 in the relevant election cycle.

(2) Ballot issue committees ("committees") that reasonably expect that neither their aggregate contributions nor their aggregate expenditures will exceed $5,000 and that no contribution or contributions from any source within such aggregate will exceed $500 in the relevant election cycle.

In order to maintain eligibility, the candidate or ballot issue committee must comply with this rule and those sections of SMC Chapter 2.04 not listed above.

(B) Conditions for granting use.

(1) The exemption allowed in this rule shall apply to a candidate or committee only so long as the candidate or committee timely files the statement of organization with the Public Disclosure Commission ("PDC") and the City Clerk. The statement shall declare that the candidate or committee will exceed neither the contribution limit nor the expenditure limit set out in this rule. Candidates must also timely file the F-1 personal financial affairs statement with their C-1 registration statement.

(2) A candidate or committee shall keep current records in sufficient detail to allow the candidate or committee to make reports otherwise required by SMC 2.04.250 through SMC 2.04.275 in the event that the candidate or committee fails to maintain eligibility under this Rule.

(3) A candidate or committee shall, during the eight days immediately preceding the date of the election, maintain records of contributions and expenditures current within one business day. These records shall be open for public inspection during the hours designated on the registration statement at the principal campaign headquarters or, if there is no campaign headquarters, at the address of the campaign treasurer or such other place as may be authorized by the Executive Director.

(C) Time for Filing Final C-4 Reports. Candidates and committees shall use the Form C-4 to file a final report. The C-4 filed by a candidate or committee under this rule shall be filed on the tenth day of the month after all bills are paid and all campaign activity has ceased, but in no event will the final report be filed later than the tenth day of the third month after the election in which the candidate or issue appeared on the ballot.

(D) Exceeding Expenditure or Contribution Limitations.

(1) Whenever a candidate or committee knows or has reason to know that it will exceed the limitations established under Rule 1(A) above, the candidate or committee must change reporting options by opening a separate campaign depository bank account, if one does not already exist, and filing a new C-1, and C-3's and C-4's listing all of its contributions and

expenditures to date. The new C-1, C-3's and C-4's must be filed on or before the day on which any applicable limit established in Rule 1(A) is exceeded. Failure to timely file the new C-1, C-3's and C-4's will entitle the Executive Director to impose late filing penalties pursuant to SMC 2.04.330 for each day a report should have been filed but was not filed.

(2) Any candidate or committee that knowingly or negligently exceeds the limitations specified in this rule without filing the reports required by SMC Chapter 2.04 or this rule shall be deemed to have violated the applicable reporting provisions of SMC Chapter 2.04.

STATE OF WASHINGTON
CITY OF SEATTLE

I, Wayne Barnett, Executive Director of the Seattle Ethics and Elections Commission, hereby certify that this is a true and correct copy of Executive Director's Rule 1, implementing SMC 2.04.060(A), which I adopted on December 6, 2010.

In witness whereof, I have hereunto set my hand this December 6, 2010.

Wayne Barnett

Wayne Barnett, Executive Director

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