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7	BEFORE THE SEATTLE ETHICS AND ELECTIONS COMMISSION	
8	In the Matter of) Case No. 10-1-0126-1
10	Christopher Bushnell) DECISION AND ORDER
11	Respondent,) OF THE COMMISSION)
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14	I. INTRODUCTION	
15	The Executive Director filed charges in this matter on April 29, 2010. The Seattle Ethics	
16	and Elections Commission (the "Commission") held a hearing on the charges at its regular	
17	meeting on May 5, 2010. The Executive Director and Mr. Bushnell agreed to Stipulations of Fact	
19	and Law on April 29, 2010, which were entered into the Commission record at the hearing. No	
20	other evidence was presented.	
21	II. STIPU	LATED FACTS
22	The residence of colors and the fall coving for the	
23	The parties stipulated to the following facts:	
24	1. Bushnell was a volunteer on Mayor McGinn's transition team. He was first given	
25 26	a City of Seattle e-mail address on November 13, 2009. His first day on the City's payroll was	
20	January 22, 2010. He resigned on February 4, 2010.	

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During the transition, Bushnell served as liaison for the Mayor-elect on several

issues, including transportation. Bushnell accompanied the Mayor-elect to meetings with Seattle

Department of Transportation ("SDOT") Director Grace Crunican and other SDOT personnel on November 17 and December 23, 2009. Bushnell met separately with Crunican and other SDOT personnel on November 25, December 7, December 10, December 17, and December 28, 2009, to discuss transportation issues, including replacement of the central waterfront seawall. Discussions related to the seawall dealt primarily with the replacement timetable and how best to accomplish the Mayor-elect's objective of accelerating the replacement project.

- 3. On December 9, 2009, Bushnell attended his wife's office holiday dinner. Bushnell's wife is a marine biologist and she works part-time in the Tacoma office of an environmental consulting firm.
- 4. At the dinner, Bushnell learned that his wife's employer was one of 16 sub-consultants under a prime contractor preparing a response to a Request for Qualifications ("RFQ") issued by SDOT. SDOT was seeking a consultant team to assist the department with the seawall replacement project. The total value of the design contract is between \$15 and \$18 million.
- 5. SDOT issued the RFQ on November 18, 2009, with final selection originally scheduled for January 23, 2010. On December 4, 2009, SDOT issued an addendum clarifying the RFQ and delaying final selection to February 12, 2010.
- 6. Bushnell's wife was not involved in the preparation of the bid materials, nor would she have worked on the contract if the team's bid was successful. Bushnell's wife owns no part of the business.
- 7. In response to the RFQ, SDOT received four submissions on January 8, 2010, including one from a team that included Bushnell's wife's employer as a sub-consultant.
 - 8. SDOT assembled a panel of five City employees to evaluate the four bid teams.

Mayor McGinn, with the advice and counsel of staff, including Bushnell and Ethan Raup, the Mayor's Director of Policy and External Affairs, instructed SDOT to include stakeholders and outside experts on the panel.

- 9. Mayor McGinn tasked Bushnell with contacting a landscape architect to determine whether that person would be interested in serving on the panel, and with identifying a biologist to serve on the interview panel after two University of Washington biologists that SDOT had identified proved unable to serve because they were part of a consulting team bidding on the project. The Mayor tasked Deputy Mayor Phil Fujii with identifying a tribal representative for the panel. Ethan Raup was directed to identify a waterfront business owner to serve on the panel.
- 10. Bushnell contacted the landscape architect, who was unable to serve on the panel because she was involved with one of the bid teams.
- 11. Bushnell contacted personnel at the King County Natural Resources Department and Seattle Public Utilities, and conducted an Internet search to identify a biologist.
- 12. One of the two biologists Bushnell identified worked for the Washington State Fish and Wildlife Department, and the other worked for the U.S. Army Corps of Engineers.

 Neither Bushnell nor his wife have ever met either individual.
- 13. On January 20, 2010, following a discussion of the names in a conference call with the Mayor, Raup shared the names of the two biologists that Bushnell identified with SDOT employees Bob Chandler and Stephanie Brown.
- 14. On January 23, 2010, at a meeting with SDOT employees Chandler and Brown, Raup, with Bushnell present, asked Brown for an update on the evaluation panel. She told him that she had not been able to reach either of the suggested biologists. Bushnell suggested that the

Mayor could call to ask them to serve on the panel if she was unable to reach them.

- 15. Following the January 23 meeting, and following up on a comment Bushnell made about a potential appearance of a conflict of interest and discussions about his wife's work as a marine biologist, SDOT personnel researched Bushnell's wife and learned that her employer was a member of one of the bid teams. SDOT personnel brought the issue to the Director on January 26.
- 16. After a series of meetings involving personnel from SDOT, the Mayor's office, the Law Department and the Director, the Mayor announced on January 29 that SDOT would restart the RFQ process.
- 17. At no time was Bushnell or anyone else in the Mayor's office provided by SDOT staff with the proposals or the criteria for evaluating them.

III. STIPULATED CONCLUSIONS OF LAW

The parties stipulated to the following conclusions of law, which the Commission adopt:

1. The Seattle Ethics Code, SMC 4.16.070.1.c, states that a Covered Individual may not "[p]erform any official duties when it could appear to a reasonable person, having knowledge of the relevant circumstances, that the Covered Individual's judgment is impaired because of either (1) a personal or business relationship not covered under subsection a or b above, or (2) a transaction or activity engaged in by the Covered Individual. It is an affirmative defense to a violation of this subsection c if the Covered Individual, prior to performing the official act, discloses the relationship, transaction or activity in writing to the Executive Director and the Covered Individual's appointing authority, and the appointing authority or the authority's designee either approves or does not within one week of the disclosure disqualify the Covered Individual from acting."

- 2. As a City volunteer between November 2009 and January 21, 2010, and as a City employee between January 22 and February 4, Bushnell was a Covered Individual, subject to the Ethics Code.
- 3. Bushnell was performing official duties when he participated in decisions about the composition of the interview panel, and when he assisted the Mayor in identifying individuals to serve on the interview panel.
- 4. Bushnell's marriage to a woman employed by a company bidding on the SDOT contract is a relationship that would to a reasonable person appear to impair Bushnell's judgment in the performance of official duties related to the composition of the panel that would interview the bid teams.
 - 5. Bushnell did not disclose the relationship in writing to the Mayor or the Director.
- 6. By performing official duties when it would appear to a reasonable person that his judgment was impaired, in the absence of a written disclosure of the relevant facts to the Mayor and the Director, Bushnell violated SMC 4.15.070.1.c.
- 7. Bushnell's wife did not have a financial interest in the contracting decision, and there is no evidence in the record that Bushnell violated either (a) SMC 4.16.070.1.a, which bars Covered Individuals from participating in matters in which an immediate family member has a financial interest, (b) SMC 4.16.070.2.d, which bars Covered Individuals from attempting to influence a contracting decision in which an immediate family member has a financial interest, or (c) SMC 4.16.070.4, which bars Covered Individuals from using confidential information for other than a City purpose.

IV. ADDITIONAL CONCLUSIONS OF LAW

The Commission makes the following additional conclusions of law:

- The stipulated violation of SMC 4.16.070.1.c was not "minor" as that term is used in SMC 4.16.090 and SEEC Administrative Rules 3.D and 3.G.
- The Commission concludes that the imposition of a monetary fine of \$500 is appropriate considering the nature of the stipulated violation, mitigating facts set forth in the stipulated facts, and penalties imposed in other cases.

V. ORDER

Having considered the record in this case, including the Stipulations of Fact and Law, the written submissions of the parties, and the argument presented at the hearing, and pursuant to SMC § 4.16.100.B, the Commission orders Respondent Christopher Bushnell to pay a fine of \$500.

SEATTLE ETHICS AND ELECTIONS COMMISSION

Robert L. Mahon

Chair, Seattle Ethics and Elections Commission